# Form **990**

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No. 1545-0047 2014

 Do not enter social security numbers on this form as it may be made public.
 Information about Form 990 and its instructions is at www.irs.gov/form990. Department of the Treasury Internal Revenue Service Open to Public Inspection For the 2014 calendar year, or tax year beginning , 2014, and ending Check if applicable: C Name of organization Rare Species Conservatory Foundation D Employer identification number Address change Doing business as 65-0560456 Number and street (or P.O. box if mail is not delivered to street address) Name change Room/suite Telephone number Initial return 1222 E Road (561) 790-5864 Final return/terminated City or town, state or province, country, and ZIP or foreign postal code Amended return Loxahatchee G Gross receipts \$ 347,454 33470 Name and address of principal officer. Application pending H(a) Is this a group return for subordinates? XNo Paul R Reillo 1222 E Road Are all subordinates included?
If 'No,' attach a list. (see instructions) Loxahatchee FL 33470 Tax-exempt status X 501(c)(3) 501(c) ( 4947(a)(1) or (insert no.) 527 Website: ► Rarespecies.org H(c) Group exemption number Form of organization: X Corporation L Year of formation: M State of legal domicile: 1994 Part I Summary Briefly describe the organization's mission or most significant activities: International wildlife conservation Biodiversity and habitat protection; endangered and theatened Activities & Governance species propagation, management and recovery programs; capacity building and outreach. if the organization discontinued its operations or disposed of more than 25% of its net assets. Check this box ► Number of voting members of the governing body (Part VI, line 1a)..... 3 Number of independent voting members of the governing body (Part VI, line 1b) . 4 4 Total number of individuals employed in calendar year 2014 (Part V, line 2a) . . . . 5 8 6 0 Total unrelated business revenue from Part VIII, column (C), line 12 . 7a 0. b Net unrelated business taxable income from Form 990-T, line 34 . . . . . . 0. Prior Year **Current Year** Contributions and grants (Part VIII, line 1h)..... 320,408 346,661. Investment income (Part VIII, column (A), lines 3, 4, and 7d) . . . . 10 747 793. 11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) . . . . . . . . . Total revenue — add lines 8 through 11 (must equal Part VIII, column (A), line 12) . . . . 12 321,155 347,454. 13 35,400 39,423. Benefits paid to or for members (Part IX, column (A), line 4) . . . . . . . . . . . . . . . . . Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) . . . . . 150,690 104,582. 16a Professional fundraising fees (Part IX, column (A), line 11e) . . . . . . b Total fundraising expenses (Part IX, column (D), line 25) ► Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e). . . . . . 17 119,892 117,957. Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) . 305,982. 261,962. 19 Revenue less expenses. Subtract line 18 from line 12 . . . . . . . . . 15,173. 85,492. Beginning of Current Year End of Year 20 Total assets (Part X, line 16) . . . . . . 1,550,054. 1,640,122. 21 Total liabilities (Part X, line 26) . . . . . . . . Ω Fund 22 1,550,054. 1,640,122. Part II Signature Block Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge. Signature of officer Sign Here HOUND ATTON Type or print name and title. Print/Type preparer's name PTIN Check Paid Robert J Thomas 05/09/15 self-employed P00337434 Preparer Firm's name Mark Brechbil Use Only Firm's address 215 S Federal Hwy, Suite 200 46-0734020 Stuart 34994 220-3380 May the IRS discuss this return with the preparer shown above? (see instructions) . . . . . .

Yes

| Form | m 990 (2014) Rare Species Conservatory Found                         | ation                                 | 65-0560456                      | Page 2         |
|------|--|---------------------------------------|---------------------------------|----------------|
| Pai  | Statement of Program Service Accomplishme                            | ents                                  |                                 |                |
|      | Check if Schedule O contains a response or note to any line          | in this Part III                      |                                 |                |
| 1    | Briefly describe the organization's mission:                         |                                       |                                 |                |
|      | International wildlife conservation, Bio                             | diversity and habitat                 |                                 |                |
|      | protection; endangered and theatened spec                            | ies propagation, mana                 |                                 |                |
|      | and recovery programs; capacity building                             |                                       | 2                               | <b>-</b>       |
|      | ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~                                |                                       |                                 |                |
| 2    | Did the organization undertake any significant program services dur  | ng the year which were not listed on  | the prior                       |                |
|      | Form 990 or 990-EZ?  |                                       | Yes                             | X No           |
|      | If 'Yes,' describe these new services on Schedule O.                 |                                       |                                 | Δ              |
| 3    |  | in how it conducts, any program ser   | vices? Yes                      | X No           |
|      | If 'Yes,' describe these changes on Schedule O.                      | or a second configuration of          | 165                             | <u> </u>       |
| 4    | Describe the organization's program service accomplishments for ea   | ch of its three largest program servi | res as measured by evnense      |                |
|      | Section 501(c)(3) and 501(c)(4) organizations are required to report | the amount of grants and allocations  | s to others, the total expenses | ,              |
|      | and revenue, if any, for each program service reported.              |                                       |                                 |                |
|      |  |                                       |                                 |                |
| 4 a  | a (Code:) (Expenses \$ 259,381. including                            |                                       | .)(Revenue \$                   | 0.)            |
|      | Endangered species propagation and manag                             | ement programs - Rare                 |                                 |                |
|      | Species Conservatory in Loxahatchee, FL                              |                                       | - <b></b>                       | _ <b></b>      |
|      | See Statement of Program Service Accompl                             | ishments attached                     |                                 |                |
|      |  | <del> </del>                          |                                 | - <b></b>      |
|      |  | <b>-</b>                              |                                 |                |
|      |  |                                       |                                 |                |
|      |  | <del>-</del>                          |                                 | <b>-</b>       |
|      |  |                                       |                                 |                |
|      |  |                                       |                                 |                |
|      |  |                                       |                                 |                |
|      |  |                                       |                                 | <del>-</del>   |
|      |  |                                       |                                 |                |
| 4 b  | <b>b</b> (Code: ) (Expenses \$ 39,423. including                     | grants of S 20 000                    | \/Payanua ¢                     |                |
|      | International (in Situ) wildlife/biodive                             |                                       | _) (Revenue \$                  | 0.)            |
|      |  | sity conservation                     |                                 | - <del></del>  |
|      | Programs   |                                       |                                 | <b>-</b>       |
|      | See Statement of Program Service Accompl                             | shments_attached                      |                                 |                |
|      |  |                                       | <del></del>                     | <del>-</del> - |
|      |  |                                       | <del>-</del> <del>-</del>       |                |
|      |  |                                       | <del> </del>                    | - <del></del>  |
|      |  | ·                                     | <b>-</b>                        |                |
|      |  | · <b>-</b>                            |                                 |                |
|      |  | ·                                     |                                 |                |
|      |  |                                       |                                 |                |
|      |  |                                       |                                 | · <del>-</del> |
|      |  |                                       |                                 |                |
| 4 c  | c(Code:) (Expenses \$ including                                      | grants of \$                          | ) (Revenue \$                   | ,              |
|      |  |                                       |                                 |                |
|      |  |                                       |                                 |                |
|      |  |                                       |                                 |                |
|      |  |                                       | <b></b>                         |                |
|      |  |                                       |                                 |                |
|      |  |                                       |                                 |                |
|      |  |                                       |                                 |                |
|      |  |                                       |                                 |                |
|      |  |                                       |                                 |                |
|      |  |                                       |                                 |                |
|      |  |                                       |                                 | ·<br>          |
|      |  | <del>-</del>                          |                                 |                |
| 440  | Other program services. (Describe in Schedule O.)                    |                                       |                                 |                |
|      | ·  |                                       |                                 |                |
|      |  | ) (Revenue                            | \$                              |                |
|      | lotal program service expenses > 298,804.                            |                                       |                                 |                |

|    |  |      | Yes | No       |
|----|--|------|-----|----------|
| 1  | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If 'Yes,' complete Schedule A  | 1    | X   |          |
| 2  | Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?  | 2    | X   |          |
| 3  | B Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates  |      |     |          |
| _  | for public office? If 'Yes,' complete Schedule C, Part I   | 3    | ļ   | X        |
| 4  | Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If 'Yes,' complete Schedule C, Part II   | 4    |     | X        |
| 5  | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If 'Yes,' complete Schedule C, Part III  | 5    |     | Х        |
| 6  | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If 'Yes,' complete Schedule D, Part I   | 6    |     | X        |
| 7  |  | 7    |     | Х        |
| 8  |  | 8    |     | X        |
| 9  | Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If 'Yes,' complete Schedule D, Part IV             | 9    |     | Х        |
| 10 | Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If 'Yes,' complete Schedule D, Part V   | 10   |     | Х        |
| 11 | If the organization's answer to any of the following questions is 'Yes', then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.  |      |     |          |
|    | a Did the organization report an amount for land, buildings and equipment in Part X, line 10? If Yes, 'complete Schedule D, Part VI  | 11 a | Х   | 201 2412 |
|    | b Did the organization report an amount for investments — other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VII  | 11 b |     | Х        |
|    | c Did the organization report an amount for investments — program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VIII  | 11 c | Х   |          |
| •  | d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part IX   | 11 d | -   | Х        |
| •  | e Did the organization report an amount for other liabilities in Part X, line 25? If 'Yes,' complete Schedule D, Part X  | 11 e |     | Х        |
|    | f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If 'Yes,' complete Schedule D, Part X   | 11 f |     | Х        |
|    | a Did the organization obtain separate, independent audited financial statements for the tax year? If 'Yes,' complete Schedule D, Parts XI, and XII  | 12a  |     | Х        |
|    | b Was the organization included in consolidated, independent audited financial statements for the tax year? If 'Yes,' and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI and XII is optional  | 12b  |     | X        |
|    | Is the organization a school described in section 170(b)(1)(A)(ii)? If 'Yes,' complete Schedule E  | 13   |     | Х        |
|    | a Did the organization maintain an office, employees, or agents outside of the United States?  | 14a  |     | Χ        |
| ŀ  | b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If Yes,' complete Schedule F, Parts I and IV | 14b  | Х   |          |
| 15 | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If 'Yes,' complete Schedule F, Parts II and IV   | 15   | Х   |          |
| 16 | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If 'Yes,' complete Schedule F, Parts III and IV   | 16   |     | Х        |
| 17 | Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If 'Yes,' complete Schedule G, Part I (see instructions)  | 17   |     | X        |
|    | Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If 'Yes,' complete Schedule G, Part II   | 18   |     | X        |
|    | Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If Yes,' complete Schedule G, Part III.   | 19   |     | X        |
|    | a Did the organization operate one or more hospital facilities? If 'Yes,' complete Schedule H  | 20   |     | X        |
| b  | If 'Yes' to line 20a, did the organization attach a copy of its audited financial statements to this return?   | 20 b |     |          |

Form 990 (2014) Rare Species Conservatory Foundation

Part IV Checklist of Required Schedules (continued)

| 22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If 'Yes,' complete Schedule I, Parts I and III.  22 X  23 Did the organization answer 'Yes' to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If 'Yes,' complete Schedule J.  24 Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If 'Yes,' answer lines 24b through 24d and complete Schedule K. If 'No,' go to line 25a.  25 Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?  26 Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?  26 Did the organization are as an 'on behalf of issuer for bonds outstanding at any time during the year?  27 Did the organization aware that it engaged in an excess benefit transaction with a disqualified person during the year? If 'Yes,' complete Schedule I, 'Part I'.  27 Did the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-E2? If 'Yes,' complete Schedule I, Part I'.  28 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If 'Yes,' complete Schedule L, Part IV  28 Was the organization provide a grant or other assistance to an officer, director, trustee, or key employee? If 'Yes,' complete Schedule L, P |      |  |     | Yes             | No        |
|--|------|--|-----|-----------------|-----------|
| column (A), line 27 if Yes, 'complete Schedule I, Parts I and III. 22 X 2  23 Did the organization share Yes to Part VIII. Section A, line 3, 4, or 5 about componention of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If Yes, 'complete Schedule I, 2-1 and the set of the last day of the year, that was issued after December 31, 2002 If Yes, 'and the rims of the 1st day of the year, that was issued after December 31, 2002 If If Yes, 'and the I and day of the year, that was issued after December 31, 2002 If If Yes, 'and the I and day of the year, that was issued after December 31, 2002 If If Yes, 'and the I and day of the year, If Yes,' a naver time 24 through 24d and complete Schedule K, If If I/O, go to line 25a  24a Did the organization maintain an escrow account other than a refunding escrow at any time during the year? A 24d  25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If Yes, 'complete Schedule L, Part I.  25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If Yes, 'complete Schedule L, Part I.  25b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person during the year? If Yes, 'complete Schedule L, Part I.  25b X Is the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, furnises, key employees, line 5 and the the transaction with a disqualified person during the schedule L, Part IV II Yes, 'complete Schedul   | 21   | Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If 'Yes,' complete Schedule I, Parts I and II          | 21  |                 | Х         |
| and former officers, directors, trustees, key employees, and highest compensated employees if if Yos', complete Schedule 2.  24 a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the least day of the year, that was issued after December 31, 2002? If Yes', answer lines 24th through 24d and complete Schedule K. If No. 70 to line 25a  24b  25d  25d  25d  25d  25d  25d  25d  26d  25d  26d  27d  27d  27d  27d  28d  28c  25s  25c  25c  25c  25c  25c  25c  25  | 22   | Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If 'Yes,' complete Schedule I, Parts I and III                              | 22  |                 | X         |
| the last day of the year, that was issued after December 31, 2002? If Yes, 'answer lines 24b through 24d and complete Schedule K. If No, you to line 26x exempt bonds beyond a temporary period exception?  24a  | 23   | and former officers, directors, trustees, key employees, and highest compensated employees? If 'Yes,' complete   | 23  |                 | Х         |
| c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defesse any tax-exempt bonds?  24d Did the organization act as an on behalf of issuer for bonds outstanding at any time during the year?  24d Did the organization act as an on behalf of issuer for bonds outstanding at any time during the year?  25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year?  25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-E27 if Yes, complete Schedule L, Part II.  25b Is the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, bubstantial contributior or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If Yes,' complete Schedule L, Part III.  27 X  28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):  28 A Carmon tro former officer, director, trustee, or key employee? If Yes,' complete Schedule L, Part IV.  28 A Family member of a current or former officer, director, trustee, or key employee? If Yes,' complete Schedule M.  29 A Yab Did the organization receive more than \$25,000 in non-cash contributions? If Yes,' complete Schedule M.  29 Did the organization receive more than \$25,000 in non-cash contributions? If Yes,' complete Schedule M.  30 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets, or qualified conservation contributions and the organization will be contribution as a separate | 24   | the last day of the year, that was issued after December 31, 2002? If 'Yes,' answer lines 24b through 24d and  | 24a |                 | Х         |
| any tax-exempt bonds? 24d    1 Did the organization act as an 'on behalf of issuer for bonds outstanding at any time during the year? 24d    25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If Yesr, 'complete Schedule I, Part I . 25a  |      | b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?  | 24b |                 |           |
| 25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If Yes, 'complete Schedulie L, Part I'.  25a X  25a X  25b Step organization averaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If 'Yes, 'complete Schedule L, Part I'.  25b X  26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If 'Yes,' complete Schedule L, Part IV.  27 Did the organization and part to a business transaction with one of the report of any of these persons? If 'Yes,' complete Schedule L, Part III.  28 Was the organization and any to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filling thresholds, conditions, and exceptions):  a A current or former officer, director, trustee, or key employee? If 'Yes,' complete Schedule L, Part IV.  28a X  A family member of a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or key employee? If 'Yes,' complete Schedule M.  29 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If 'Yes,' complete Schedule R. Part II.  31 Did the organization sell, exchange, dispose of, or   |      | any tax-exempt bonds?  | 24c |                 |           |
| b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization spiror Forms 990 or 990-E27 If 'Yes,' complete Schedule L, Part I .  25b  |      | d Did the organization act as an 'on behalf of issuer for bonds outstanding at any time during the year?   | 24d |                 |           |
| that the transaction has not been reported on any of the organization's prior Forms 990 or 990-E2? If 'Yes,' complete Schedule L, Part IV  25b X  26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officiers, director, trustees, key employees, highest compensated employees, or disqualified persons?  27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereor, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If 'Yes,' complete Schedule L, Part III.  28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):  a A current or former officer, director, trustee, or key employee? If 'Yes,' complete Schedule L, Part IV.  28a X  b A family member of a current or former officer, director, trustee, or key employee (if 'Yes,' complete Schedule L, Part IV.  28b X  c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or director or indirect owner? If 'Yes,' complete Schedule L, Part IV.  29 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If 'Yes,' complete Schedule M.  30 Did the organization liquidate, terminate, or dissolve and cease operations? If 'Yes,' complete Schedule N, Part I .  31 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If 'Yes,' complete Schedule N, Part II.  32 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-37 If 'Yes,' complete Sc   | 25   | a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If 'Yes,' complete Schedule L, Part I           | 25a |                 | Х         |
| tif Yes,' complete Schedule L, Part II again to rother assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If Yes,' complete Schedule L, Part III again to extend the selection of the separation of the property of any of these persons? If Yes,' complete Schedule L, Part III again to extend the selection of the separation of the separatio   | İ    | that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If 'Yes,' complete  | 25b |                 | Х         |
| contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If Yes, 'complete Schedule L, Part III  | 26   | former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons?   | 26  |                 | Х         |
| Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filling thresholds, conditions, and exceptions):  a A current or former officer, director, trustee, or key employee? If 'Yes,' complete Schedule L, Part IV.  b A family member of a current or former officer, director, trustee, or key employee? If 'Yes,' complete Schedule L, Part IV.  c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If 'Yes,' complete Schedule L, Part IV.  28b X  c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If 'Yes,' complete Schedule M.  29 Did the organization receive more than \$25,000 in non-cash contributions? If 'Yes,' complete Schedule M.  29 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If 'Yes,' complete Schedule M.  30 Did the organization liquidate, terminate, or dissolve and cease operations? If 'Yes,' complete Schedule N, Part I .  31 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If 'Yes,' complete Schedule R, Part II .  31 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If 'Yes,' complete Schedule R, Part II .  32 A Was the organization related to any tax-exempt or taxable entity? If 'Yes,' complete Schedule R, Part II, III, or IV, and Part V, line 1 .  33 Did the organization have a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' complete Schedule R, Part V, line 2 .  33 Did the organization sell, accomplete Schedule R, Part V, line 2 .  34 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-cha  | 27   | contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member  | 27  |                 | Х         |
| b A family member of a current or former officer, director, trustee, or key employee? If 'Yes,' complete Schedule L, Part IV.  c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If 'Yes,' complete Schedule L, Part IV.  28c X  29 Did the organization receive more than \$25,000 in non-cash contributions? If 'Yes,' complete Schedule M.  29 X  30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If 'Yes,' complete Schedule M.  30 X  31 Did the organization liquidate, terminate, or dissolve and cease operations? If 'Yes,' complete Schedule N, Part I.  31 X  32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If 'Yes,' complete Schedule N, Part II.  32 X  33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If 'Yes,' complete Schedule R, Part I.  33 AV  34 Was the organization related to any tax-exempt or taxable entity? If 'Yes,' complete Schedule R, Part II, III, or IV, and Part V, line 1.  35 a Did the organization have a controlled entity within the meaning of section 512(b)(13)?  35 b If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' complete Schedule R, Part V, line 2  35 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? Yes, complete Schedule R, Part V, line 2  36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization complete Schedule R, Part V, line 2  36 X  37 Did the organization complete Schedule Q and provide explanations in Schedule Q for Part VI lines 11b and 182                                     | 28   | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):                          |     |                 | <b>2%</b> |
| c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV  28c  | á    | A current or former officer, director, trustee, or key employee? If 'Yes,' complete Schedule L, Part IV  | 28a | San San San San | X         |
| officer, director, fursitee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV  29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M  30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M  30 X  31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I  31 X  32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II  32 X  33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I  33 X  34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1  35 Did the organization have a controlled entity within the meaning of section 512(b)(13)?  35 If "Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2  36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2  36 X  37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI lines 11b and 192  38 Did the organization complete Schedule Q and provide explanations in Schedule Q for Part VI lines 11b and 192  | j    | A family member of a current or former officer, director, trustee, or key employee? If 'Yes,' complete Schedule L, Part IV   | 28b |                 | Х         |
| Did the organization receive more than \$25,000 in non-cash contributions? If 'Yes,' complete Schedule M.  Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If 'Yes,' complete Schedule M.  30 X  31 Did the organization liquidate, terminate, or dissolve and cease operations? If 'Yes,' complete Schedule N, Part I.  31 X  32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If 'Yes,' complete Schedule N, Part II.  32 X  33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-3? If 'Yes,' complete Schedule R, Part I.  33 X  34 Was the organization related to any tax-exempt or taxable entity? If 'Yes,' complete Schedule R, Part III, III, or IV, and Part V, line 1  35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?  35b If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' complete Schedule R, Part V, line 2  36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If 'Yes,' complete Schedule R, Part V, line 2  36 X  Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If 'Yes,' complete Schedule R, Part VI. lines 11b and 102  37 X  Did the organization complete Schedule Q and provide explanations in Schedule Q for Part VI. lines 11b and 102   | C    | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If 'Yes,' complete Schedule L, Part IV | 28c |                 | X         |
| Did the organization liquidate, terminate, or dissolve and cease operations? If 'Yes,' complete Schedule N, Part I   | 29   | Did the organization receive more than \$25,000 in non-cash contributions? If 'Yes,' complete Schedule M   | -   |                 | X         |
| Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes,' complete Schedule N, Part I   | 30   | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If 'Yes,' complete Schedule M   | 30  |                 | X         |
| 32 X  33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If 'Yes,' complete Schedule R, Part I  | 31   | Did the organization liquidate, terminate, or dissolve and cease operations? If 'Yes,' complete Schedule N, Part I   | H   |                 |           |
| 301.7701-2 and 301.7701-3? If 'Yes,' complete Schedule R, Part I   | 32   | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If 'Yes,' complete Schedule N, Part II   | 32  |                 | X         |
| and Part V, line 1   | 33   | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If 'Yes,' complete Schedule R, Part I                                   | 33  |                 | X         |
| 35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?  | 34   | Was the organization related to any tax-exempt or taxable entity? If 'Yes,' complete Schedule R, Part II, III, or IV, and Part V, line 1   | 34  |                 | Х         |
| entity within the meaning of section 512(b)(13)? If 'Yes,' complete Schedule R, Part V, line 2   | 35 a | Did the organization have a controlled entity within the meaning of section 512(b)(13)?  |     |                 |           |
| organization? If 'Yes,' complete Schedule R, Part V, line 2  | Ł    | If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' complete Schedule R, Part V, line 2      | 35b |                 | Х         |
| treated as a partnership for federal income tax purposes? If 'Yes,' complete Schedule R, Part VI   | 36   | Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If 'Yes,' complete Schedule R, Part V, line 2   | 36  |                 | Х         |
| Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?  Note. All Form 990 filers are required to complete Schedule O  |      | treated as a partnership for federal income tax purposes? If 'Yes,' complete Schedule R, Part VI   | 37  |                 | Х         |
|  | 38   | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?  Note. All Form 990 filers are required to complete Schedule O  | 38  | Х               | _         |

BAA

Form 990 (2014)

# Part V Statements Regarding Other IRS Filings and Tax Compliance Check if Schedule O contains a response or note to any line in this Part V . . . . .

|     | Check it Schedule O contains a response or note to any line in this Part V  | • | • • • • | 1   | <u>.  </u>          |
|-----|---|---|---------|---|---------------------|
| 4   | a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable  | 1 10                                    | 10.48%  | Yes   | No                  |
|     | b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable   |   |         |   |                     |
|     | c Did the organization comply with backup withholding rules for reportable payments to vendors and  |   |         |   |                     |
|     | (gambling) winnings to prize winners?   | reportable gaming                       | 1 c     | E War in the William  | 10 - 6755           |
| 2   | a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return                                 | 2a 8                                    | 3       |   |                     |
| I   | b If at least one is reported on line 2a, did the organization file all required federal employment tax r   |   | 2 b     |   |                     |
|     | Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instruction   | ,                                       |         | <b>基</b> .3.6   | 2.11                |
|     | a Did the organization have unrelated business gross income of \$1,000 or more during the year?.  |   | 3 a     | <u> </u>  | X                   |
| J   | b If 'Yes' has it filed a Form 990-T for this year? If 'No' to line 3b, provide an explanation in Schedule O  |   | 3 b     | 1   | ļ                   |
|     | a At any time during the calendar year, did the organization have an interest in, or a signature or oth<br>financial account in a foreign country (such as a bank account, securities account, or other financi | ner authority over, a al account)?      | 4 a     |   | Х                   |
| ı   | b If 'Yes,' enter the name of the foreign country:  |   |         |   | <b>1</b> % <b>4</b> |
|     | See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financi  | ` ,                                     |         |   |                     |
|     | a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year  |   | 5 a     |   | X                   |
|     | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter tran  |   | 5 b     |   | X                   |
| (   | If 'Yes,' to line 5a or 5b, did the organization file Form 8886-T?  | • | 5 c     |   | <u> </u>            |
| 6 a | a Does the organization have annual gross receipts that are normally greater than \$100,000, and dissolicit any contributions that were not tax deductible as charitable contributions?                         |   | 6 a     |   | Х                   |
| i   | of If 'Yes,' did the organization include with every solicitation an express statement that such contribution tax deductible?   | tions or gifts were                     | 6 b     |   |                     |
| 7   | Organizations that may receive deductible contributions under section 170(c).   |   |         |   | Market<br>Property  |
| ā   | a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly f services provided to the payor?   | or goods and                            | 7 a     |   | X                   |
| ŀ   | o If 'Yes,' did the organization notify the donor of the value of the goods or services provided?   |   | 7 b     |   | <u> </u>            |
| C   | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it Form 8282?   | was required to file                    | 7 с     |   | Х                   |
| c   | I If 'Yes,' indicate the number of Forms 8282 filed during the year   | 7 d                                     |         |   |                     |
| •   | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefi  | t contract?                             | 7 e     | Maria de la Caracida | X                   |
| f   | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit co   | ntract?                                 | 7 f     |   | Х                   |
| ç   | g If the organization received a contribution of qualified intellectual property, did the organization file as required?  | Form 8899                               | 7 g     |   |                     |
| ł   | n If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organ<br>Form 1098-C?   | ization file a                          | 7 h     |   | Х                   |
| 8   | Sponsoring organizations maintaining donor advised funds. Did a donor advised fund mainta   | ined by the sponsoring                  |         |   | A Second            |
|     | organization have excess business holdings at any time during the year?   |   | 8       |   | X                   |
| 9   | Sponsoring organizations maintaining donor advised funds.   |   | 200     |   |                     |
| a   | Did the sponsoring organization make any taxable distributions under section 4966?  |   | 9 a     | 1   | X                   |
| b   | Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?.  |   | 9 b     |   | Х                   |
|     | Section 501(c)(7) organizations. Enter:   | ,                                       | 100     |   |                     |
|     | Initiation fees and capital contributions included on Part VIII, line 12  | 10a                                     |         |   |                     |
|     | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities   | 10b                                     |         |   |                     |
|     | Section 501(c)(12) organizations. Enter:  | 1                                       | 1.5     |   |                     |
|     | Gross income from members or shareholders   | 11 a                                    | 逐點      |   |                     |
|     | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)  | 11 ь                                    |         |   |                     |
|     | Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Fo  | 1                                       | 12a     |   | refrence between    |
|     |   | 12b                                     |         |   |                     |
|     | Section 501(c)(29) qualified nonprofit health insurance issuers.  |   |         |   |                     |
| а   | Is the organization licensed to issue qualified health plans in more than one state?  |   | 13 a    | 50000000000000000000000000000000000000  | Coldoner in         |
| L   | Note. See the instructions for additional information the organization must report on Schedule O.   |   |         |   |                     |
|     | · · · · · · · · · · · · · · · · · · ·   | 13 b                                    |         |   |                     |
|     |   | 13c                                     |         |   |                     |
|     | Did the organization receive any payments for indoor tanning services during the tax year?  |   | 14a     |   | X                   |
| b   | If 'Yes,' has it filed a Form 720 to report these payments? If 'No,' provide an explanation in Schedule   | e O                                     | 14 b    |   |                     |

Form 990 (2014) Rare Species Conservatory Foundation 65-0560456 Page 6 Part VI Governance, Management, and Disclosure For each 'Yes' response to lines 2 through 7b below, and for a 'No' response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI. . . . . . . . . Section A. Governing Body and Management Yes No 1a Enter the number of voting members of the governing body at the end of the tax year . . . . . 1 a If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O. b Enter the number of voting members included in line 1a, above, who are independent . . . . . Δ Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other 2 Χ Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? . . . . . Χ Did the organization make any significant changes to its governing documents 4 Χ 5 Did the organization become aware during the year of a significant diversion of the organization's assets? . . . . . 5 Χ 6 Χ 7 a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?..... 7 a Χ b Are any governance decisions of the organization reserved to (or subject to approval by) members, Χ Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: 8 a b Each committee with authority to act on behalf of the governing body? . . . . . . . . . . . . . . . . . 8 b X is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If 'Yes,' provide the names and addresses in Schedule O . . . . . . . . . . . . Χ Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code. Yes Nο 10a Did the organization have local chapters, branches, or affiliates? . . . . . . . . . . . . 10 a Χ b If 'Yes,' did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 10 b 11 a Χ b Describe in Schedule O the process, if any, used by the organization to review this Form 990. 12 a Χ b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? 12b Χ c Did the organization regularly and consistently monitor and enforce compliance with the policy? If 'Yes,' describe in 12c Χ 13 Χ 14 Х Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? 15 a X 15 b Χ If 'Yes' to line 15a or 15b, describe the process in Schedule O (see instructions). 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a 16 a Χ b If 'Yes,' did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? 16 b Section C. Disclosure List the states with which a copy of this Form 990 is required to be filed > Florida Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. Another's website Upon request Other (explain in Schedule O) Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. State the name, address, and telephone number of the person who possesses the organization's books and records: 20

33470

| Form <b>990</b> (2014) Rare Species Conservatory Foundati | oundation | Conservatory | Species | Rare | Form <b>990</b> (2014) |
|---|-----------|--------------|---------|------|------------------------|
|---|-----------|--------------|---------|------|------------------------|

65-0560456

Page 7

Part VII | Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

# Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1 a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
  - List all of the organization's current key employees, if any. See instructions for definition of 'key employee.'
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

|             | Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee. |   |                                   |                       |         |              |                                   |        |                                     |  |  |
|-------------|--|---|-----------------------------------|-----------------------|---------|--------------|-----------------------------------|--------|-------------------------------------|--|--|
|             |  |   |                                   |                       | (C)     | _            | _                                 | _      |                                     |  |  |
|             | (A)<br>Name and Title  | (B)<br>Average<br>hours<br>per                                      | Pos<br>thar<br>is                 | s both                | an o    | fficer       | ck mon<br>s perso<br>and a<br>ee) |        | (D)  Reportable compensation from   | (E) Reportable compensation from         | (F)<br>Estimated<br>amount of other                                      |
|             |  | week (list any hours for related organiza- tions below dotted line) | individual trustee<br>or director | Institutional trustee | Officer | Key employee | Highest compensated employee      | Former | the organization<br>(W-2/1099-MISC) | related organizations<br>(W-2/1099-MISC) | compensation<br>from the<br>organization<br>and related<br>organizations |
| _ (1)       | Paul R Reillo Ph.D.  | 60.00   |                                   |                       |         | ĺ            |                                   |        |                                     |  |  |
|             | President/Secretary  |   | Х                                 |                       | Χ       |              |                                   |        | 0.                                  | 0.                                       | 0.   |
|             | George Amato Ph.D.<br>Director   | 10.00   | Х                                 |                       |         |              |                                   |        | 0.                                  | 0.                                       | 0.   |
| _(3)        | Richard D Estes Ph.D. Director   | 10.00   | Х                                 |                       |         |              |                                   |        | 0.                                  | 0.                                       | 0.   |
| _(4)_       | <u>Christopher Langen Esq.</u><br>Director   | 10.00   | Х                                 |                       |         |              |                                   |        | 0.                                  | 0.                                       | 0.   |
| _(5)        |  | <b>–</b> – – .  |                                   |                       |         |              |                                   |        |                                     |  |  |
| (6)         |  |   |                                   |                       |         |              |                                   |        |                                     |  |  |
| _(7)_       |  |   |                                   |                       |         |              |                                   |        |                                     |  |  |
| (8)         |  |   |                                   |                       |         | -            |                                   |        |                                     |  |  |
| (9)         |  |   |                                   |                       |         |              |                                   |        |                                     |  |  |
| (10)        |  | <b>-</b> -  |                                   |                       |         |              |                                   |        |                                     |  |  |
| (11)        |  |   |                                   |                       |         |              |                                   |        |                                     |  |  |
| (12)        |  |   |                                   |                       |         |              |                                   |        |                                     |  |  |
| <u>(13)</u> |  |   |                                   |                       |         |              |                                   |        |                                     |  |  |
| (14)        |  |   |                                   |                       |         |              |                                   |        |                                     |  |  |

| Part VII   Section A. Officers, Directors, Tru   | ıstees,<br>(B)   | Key             | En            |                      | oye<br>C)                         | es,                                       | an          | d Highest Con  | npensated Em  | oloyees (continued)  |
|--|--|-----------------|---------------|----------------------|-----------------------------------|---|-------------|--|---|--|
| (A)<br>Name and title  | Average hours per week (list any hours for related organiza - tions below dotted line) | box             | , unle        | Pos<br>heck<br>ss pe | ition<br>more<br>erson<br>directe | than control Highest compensated employee | an<br>tee)  | (D)  Reportable compensation from the organization (W-2/1099-MISC) | (E)  Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
| <u>(15)</u>  |  |                 |               |                      |                                   |   |             |  |   |  |
| (16)   |  |                 |               |                      |                                   |   |             |  |   |  |
| (17)   |  |                 |               |                      |                                   |   |             |  |   |  |
| (18)   |  |                 |               |                      |                                   |   |             |  |   |  |
| (19)   |  |                 |               |                      |                                   |   |             |  |   |  |
| (20)   |  |                 |               |                      |                                   |   |             |  |   |  |
| (21)   |  |                 |               |                      |                                   |   |             |  |   |  |
| (22)   |  |                 |               |                      |                                   |   |             |  |   |  |
| (23)   |  |                 |               |                      |                                   |   |             |  |   |  |
| (24)   |  |                 |               |                      |                                   | -   |             |  |   |  |
| (25)   |  |                 |               |                      |                                   |   |             |  |   |  |
| 1 b Sub-total  |  |                 |               |                      |                                   |   | -           | 0.   | 0.  | 0.   |
| c Total from continuation sheets to Part VII, Section d Total (add lines 1b and 1c)  |  |                 |               |                      |                                   |   | <b>▶</b>    | 0.   | 0   |  |
| 2 Total number of individuals (including but not limited from the organization ►   |  |                 |               |                      |                                   |   | ived        |  | 0.<br>00 of reportable cor  | npensation 0.  |
| <ul> <li>3 Did the organization list any former officer, director, on line 1a? If 'Yes,' complete Schedule J for such inc</li> <li>4 For any individual listed on line 1a, is the sum of report</li> </ul> | d <i>ividual</i> .<br>ortable co   | <br>mner        |               | <br>on a             | nd c                              | ther                                      | ··          | nensation from   | oloyee  | Yes No   |
| the organization and related organizations greater th<br>such individual   | an \$150,0   | 00?             | f 'Υε         | ∍s'c<br>· ·          | omp<br>                           | lete 3                                    | Sch         | edule J for  |   | - 4 X  |
| 5 Did any person listed on line 1a receive or accrue co for services rendered to the organization? If Yes, 'co   | mpensation<br>mplete So  | on fro<br>chedu | m ai<br>ile J | ny u<br>for s        | nrela<br>such                     | ated<br>pers                              | orga<br>son | anization or individu  | ıal<br>- <u> </u>   | . 5 X  |
| Section B. Independent Contractors  1 Complete this table for your five highest compensate compensation from the organization. Report compensation   | d indepen  | dent<br>the c   | cont<br>alen  | tract                | ors f                             | hat r                                     | ece         | ived more than \$10  | 00,000 of   | ar   |
| (A)<br>Name and business addres  |  |                 |               |                      |                                   |   | Ĭ           | (B)<br>Description of  |   | (C) Compensation   |
|  |  |                 |               |                      |                                   |   | $\perp$     |  |   |  |
|  |  |                 |               |                      |                                   |   |             |  |   |  |
| Total number of independent contractors (including b \$100,000 of compensation from the organization   | ut not limi  | ted to          | tho           | se li                | sted                              | abo                                       | ve) '       | who received more  | than  |  |
| BAA  | т  | EA01            | 08 O:         | 3/09/                | 15                                |   |             |  |   | Form 990 (2014)  |

Form 990 (2014) Rare Species Conservatory Foundation 65-0560456 Page 9 Part VIII Statement of Revenue Check if Schedule O contains a response or note to any line in this Part VIII . . . . . . (A) Total revenue (B) (C) (D) Related or Unrelated Revenue exempt business excluded from tax function revenue under sections revenue 512-514 Program Service Revenue Contributions, Gifts, Grants and Other Similar Amounts 1 a Federated campaigns . . . . . 1 a b Membership dues . . . . . . . 1 b c Fundraising events . . . . . . 1 c d Related organizations . . . . 1 d e Government grants (contributions) - -1 e f All other contributions, gifts, grants, and similar amounts not included above -1 f 346,661 g Noncash contributions included in lines 1a-1f: \$ **Business Code** f All other program service revenue . . Investment income (including dividends, interest and 793 0 793. Income from investment of tax-exempt bond proceeds . . . (i) Real (ii) Personal 6a Gross rents . . . . .

|               | <b>b</b> Less: rental expenses   |                   |               |  |  | 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -  |  |
|---------------|--|-------------------|---------------|--|--|--|--|
|               | c Rental income or (loss)  |                   |               |  |  |  |  |
|               | d Net rental income or (los  |                   |               |  |  | Charles A 190/4284   | The state of the s |
|               | 7 a Gross amount from sales of assets other than inventory   | (i) Securities    | (ii) Other    |  |  |  |  |
|               | <b>b</b> Less: cost or other basis and sales expenses  |                   |               |  | The second secon |  |  |
|               | c Gain or (loss)   |                   |               |  |  |  |  |
|               | d Net gain or (loss)   |                   |               |  | ļ  |  | A STATE OF THE PROPERTY OF THE |
| Other Revenue | 8 a Gross income from funda<br>(not including\$_<br>of contributions reported<br>See Part IV, line 18<br>b Less: direct expenses | on line 1c).      |               |  |  |  |  |
| ₹             | c Net income or (loss) from  |                   | ts            |  |  |  |  |
| <b>-</b> .    | 9 a Gross income from gamir<br>See Part IV, line 19<br>b Less: direct expenses .   | ng activities.    |               |  |  |  |  |
|               | c Net income or (loss) from  | gaming activities |               |  | A STATE OF THE PROPERTY OF THE | Processor Communication Control of the Control of t | Secretary of the second |
|               | 10 a Gross sales of inventory, and allowances  | less returns      |               |  |  |  |  |
|               | b Less: cost of goods sold   | b                 |               |  |  |  |  |
|               | c Net income or (loss) from  | sales of inventor | /             | and the complete of the control of t | er steine von der der steine konstruktion von der  | BANKS SAN SAN SAN SAN SAN SAN SAN SAN SAN SA   |  |
| Ì             | Miscellaneous Revenue  |                   | Business Code |  |  |  |  |
|               | 11 a   |                   |               | and market as a september of the first property of the september of the se | A MANAGEMENT OF THE PROPERTY O | enterphones-mental control of the local Process of A New York (1995)   |  |
|               | b c d All other revenue  |                   |               |  |  |  |  |
|               | e Total. Add lines 11a-11d   | <u>-</u>          |               |  |  |  |  |
|               | 12 Total revenue. See instru   | ictions           |               | 347,454.   | 0.   | 0  | 7.00   |
| ٩A            | ****   |                   |               | 0109 11/13/14  |  | 0.   | 793.<br>Form <b>990</b> (2014)   |
|               |  |                   |               |  |  |  | 1 omi <b>990</b> (2014)  |

# Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX.

|          | not include amounts reported on lines<br>7b, 8b, 9b, and 10b of Part VIII.  | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses   |
|----------|---|--------------------|------------------------------|-------------------------------------|--|
| 1        | Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21  |                    |                              | 3                                   |  |
| 2        | Grants and other assistance to domestic individuals. See Part IV, line 22   |                    |                              |                                     | No. 10 Control of the |
| 3        | Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.   | . 39,423.          | 39,423.                      |                                     |  |
| 4        | Benefits paid to or for members   | 337123.            | 33,123.                      |                                     |  |
| 5        | Compensation of current officers, directors, trustees, and key employees  |                    |                              |                                     |  |
| 6        | Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)   |                    |                              |                                     |  |
| 7        | Other salaries and wages  | 97,214.            | 97,214.                      | 0.                                  | 0.   |
| 8        | Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)  |                    |                              |                                     |  |
| 9        | Other employee benefits   |                    |                              |                                     |  |
| 10       | Payroll taxes   | 7,368.             | 7,368.                       | 0.                                  | 0.   |
| 11       | Fees for services (non-employees):  |                    |                              |                                     |  |
| á        | Management  |                    |                              |                                     |  |
| ŀ        | Legal   |                    |                              |                                     |  |
| (        | Accounting  | 2,520.             | 0.                           | 2,520.                              | 0.   |
| c        | Lobbying  |                    |                              | 2,020.                              |  |
| e        | Professional fundraising services. See Part IV, line 17 .   |                    |                              | 5.5                                 |  |
| g        | Investment management fees Other. (If line 11g amt exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule 0) Advertising and promotion   |                    |                              |                                     |  |
| 13       | Office expenses   | 850.               | 850.                         | 0.                                  | 0.   |
| 14       | Information technology  |                    |                              |                                     |  |
| 15       | Royalties   |                    |                              |                                     |  |
| 16       | Occupancy   |                    |                              |                                     |  |
| 17       | Travel  |                    |                              |                                     |  |
| 18       | Payments of travel or entertainment expenses for any federal, state, or local public officials  |                    |                              |                                     |  |
| 19       | Conferences, conventions, and meetings  |                    |                              |                                     |  |
| 20       | Interest  |                    |                              |                                     |  |
| 21       | Payments to affiliates  |                    |                              |                                     |  |
| 22       | Depreciation, depletion, and amortization   | 9,415.             | 9,415.                       | 0.                                  | 0.   |
| 23<br>24 | Insurance Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)       |                    |                              |                                     |  |
| а        | Feed and Supplies   | 61,584.            | 61,584.                      | 0.                                  | 0 -  |
| _        | Utilities and Fuel  | 6,971.             | 6,971.                       | 0.                                  | 0.   |
|          | Development and Education   | 5,532.             | 5,532.                       | 0.                                  | 0.   |
| đ        | Communications  | 2,124.             | 2,124.                       | 0.                                  | 0.   |
|          | All other expenses  | 28,961.            | 28,900.                      | 61.                                 | 0.   |
| 25       | Total functional expenses. Add lines 1 through 24e  | 261,962.           | 259,381.                     | 2,581.                              | 0.   |
|          | Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.  Check here   if following  SOP 98-2 (ASC 958-720) |                    | ,                            |                                     |  |

Part X **Balance Sheet** (B) Beginning of year End of year Cash – non-interest-bearing . . . . . . . . . . . . 1 233,824 366,340. 2 Savings and temporary cash investments 2 341,274 303,666. 3 3 4 4 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L 5 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L . . . . 6 7 Assets 8 Prepaid expenses and deferred charges . . . . . . 9 10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D . . . . . . . . . . . . 10 a 996.636 b Less: accumulated depreciation - -10 b 941.739 10 c 932,324. Investments - publicly traded securities . . . . . 11 29,508 11 37,292. Investments - other securities. See Part IV, line 11 . . . . . 12 12 Investments - program-related. See Part IV, line 11 13 13 14 14 15 Other assets. See Part IV, line 11 . . . . . . 3,709 15 500 Total assets. Add lines 1 through 15 (must equal line 34) 16 16 1,550,054 1,640,122 Accounts payable and accrued expenses. . . . . . 17 17 0. 18 Grants pavable...... 18 19 Deferred revenue . . . . 19 20 20 Escrow or custodial account liability. Complete Part IV of Schedule D . . . Liabilities 21 21 Loans and other payables to current and former officers, directors, trustees, 22 key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L............. 22 Secured mortgages and notes payable to unrelated third parties . . . . . . 23 24 24 Other liabilities (including federal income tax, payables to related third parties, 25 and other liabilities not included on lines 17-24). Complete Part X of Schedule D . . 25 26 Total liabilities. Add lines 17 through 25..... 0 26 Organizations that follow SFAS 117 (ASC 958), check here ▶ 🗓 and complete Net Assets or Fund Balances lines 27 through 29, and lines 33 and 34. 27 27 1,550,054 ,640,122 Temporarily restricted net assets . . . . . . . . 28 28 29 29 Organizations that do not follow SFAS 117 (ASC 958), check here >

BAA

31

32

33

34

and complete lines 30 through 34.

Capital stock or trust principal, or current funds . . . . . . . .

Paid-in or capital surplus, or land, building, or equipment fund . . . . . .

Retained earnings, endowment, accumulated income, or other funds....

1,640,122. Form 990 (2014)

1,640,122.

30

31

32

33

34

1,550,054

1,550,054

| Form 990 (2014) Rare Species Conservatory Foundation 65  | -0560456      | Page 12    |
|--|---------------|------------|
| Part XI Reconciliation of Net Assets   |               |            |
| Check if Schedule O contains a response or note to any line in this Part XI  |               |            |
| 1 Total revenue (must equal Part VIII, column (A), line 12)  |               | 347,454.   |
| 2 Total expenses (must equal Part IX, column (A), line 25)   | 2             | 261,962.   |
| 3 Revenue less expenses. Subtract line 2 from line 1   | 3             | 85,492.    |
| 4 Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))  | 4             | 1,550,054. |
| 5 Net unrealized gains (losses) on investments   | 5             | 7,875.     |
| 6 Donated services and use of facilities   | 6             | .,,        |
| 7 Investment expenses  | 7             | -          |
| 8 Prior period adjustments   | 8             |            |
| 9 Other changes in net assets or fund balances (explain in Schedule O)   | 9             |            |
| 10 Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33,  |               |            |
| column (B))  | 10            | 1,643,421. |
| Part XII Financial Statements and Reporting  |               |            |
| Check if Schedule O contains a response or note to any line in this Part XII   |               |            |
| 1 Accounting method used to prepare the Form 990: X Cash Accrual Other  If the organization changed its method of accounting from a prior year or checked 'Other,' explain in Schedule O.                                    |               | Yes No     |
| 2 a Were the organization's financial statements compiled or reviewed by an independent accountant?  | a             | 2 a X      |
| b Were the organization's financial statements audited by an independent accountant?   |               | 2b X       |
| If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:  Separate basis Consolidated basis Both consolidated and separate basis |               |            |
| c If 'Yes' to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audieview, or compilation of its financial statements and selection of an independent accountant?       | dit,<br>      | 2 c        |
| If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.  |               |            |
| 3 a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?   |               | 3a X       |
| b If 'Yes,' did the organization undergo the required audit or audits? If the organization did not undergo the required a or audits, explain why in Schedule O and describe any steps taken to undergo such audits           |               | 21         |
| or addits, explain why in Scriedule O and describe any steps taken to undergo such addits  | · · · · · · · | 3b         |

ראט

#### SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Name of the organization

# Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Attach to Form 990 or Form 990-EZ.

Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

Open to Public Inspection.

Schedule A (Form 990 or 990-EZ) 2014

Employer identification number Rare Species Conservatory Foundation 65-0560456 Part Reason for Public Charity Status (All organizations must complete this part.) See instructions. The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.) A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i), 1 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.) 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). 1 A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 5 170(b)(1)(A)(iv). (Complete Part II.) A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). 6 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.) A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.) 8 An organization that normally receives: (1) more than 33-1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions — subject to certain exceptions, and (2) no more than 33-1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after 9 June 30, 1975. See section 509(a)(2). (Complete Part III.) 10 An organization organized and operated exclusively to test for public safety. See section 509(a)(4). An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g. 11 Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B. Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV. Sections A and C. Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E. Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V. Check this box if the organization received a written determination from the IRS that is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization. Provide the following information about the supported organization(s). (i) Name of supported (ii) EIN (iii) Type of organization (described on lines 1-9 above or IRC section (v) Amount of monetary (vi) Amount of other (iv) Is the organization listed support (see instructions) support (see instructions) in your governing document? (see instructions)) Yes No (A) (B) (C) (D) (E) Total BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

|             | <del>-</del>                             |  |                                   |
|-------------|--|--|-----------------------------------|
| (Complete   | only if you checked the box on line      | 5, 7, or 8 of Part I or if the organization failed | to qualify under Part III. If the |
| organizatio | n fails to qualify under the tests liste | d below, please complete Part III.)                | •                                 |

| Sec  | Section A. Public Support  |   |  |  |   |  |            |  |  |
|------|--|---|--|--|---|--|------------|--|--|
|      | ndar year (or fiscal year<br>nning in) ►   | (a) 2010  | <b>(b)</b> 2011  | (c) 2012   | (d) 2013  | (e) 2014   | (f) Total  |  |  |
| 1    | Gifts, grants, contributions, and membership fees received. (Do not include any 'unusual grants.')   | 264,635.  | 207,101.   | 453,248.   | 320,408.  | 346,661.   | 1,592,053. |  |  |
| 2    | Tax revenues levied for the organization's benefit and either paid to or expended on its behalf  |   |  |  |   |  |            |  |  |
| 3    | The value of services or facilities furnished by a governmental unit to the organization without charge  |   |  |  |   |  |            |  |  |
| 4    | Total. Add lines 1 through 3   | 264,635.  | 207,101.   | 453,248.   | 320,408.  | 346,661.   | 1,592,053. |  |  |
| 5    | The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)  |   |  |  |   |  | 167,066.   |  |  |
| 6    | Public support. Subtract line 5 from line 4  |   |  |  |   |  | 1,424,987. |  |  |
| Sec  | tion B. Total Support  | Product A Code Control (Code Code Code Code Code Code Code Code | Company of the Compan | Control of the Contro | Find the Benedicts and the control of the Court Section | and the control of th | 1,121,3011 |  |  |
| Cale | ndar year (or fiscal year<br>nning in) ►   | (a) 2010  | <b>(b)</b> 2011  | (c) 2012   | (d) 2013  | (e) 2014   | (f) Total  |  |  |
| 7    | Amounts from line 4  | 264,635.  | 207,101.   | 453,248.   | 320,408.  | 346,661.   | 1,592,053. |  |  |
| 8    | Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources   | 2,242.  | 1,788.   | 1,038.   | 747.  | 793.   | 6,608.     |  |  |
| 9    | Net income from unrelated business activities, whether or not the business is regularly carried on   | _,  |  | 2,0000   | , 2, 1  | ,,,,,,   | <u> </u>   |  |  |
| 10   | Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)  |   |  |  |   |  |            |  |  |
|      | Total support. Add lines 7 through 10  |   |  |  | 9   | E.   | 1,598,661. |  |  |
| 12   | Gross receipts from related activities   | es, etc (see instruc  | tions)   | · · · · · · · · · · · ·  |   | 12   |            |  |  |
| 13   | First five years. If the Form 990 is organization, check this box and st   | for the organization  | on's first, second, the  | nird, fourth, or fifth   | tax year as a secti                                     | on 501(c)(3)   |            |  |  |
| Sec  | tion C. Computation of Pul   | olic Support P  | ercentage  |  |   |  |            |  |  |
|      | Public support percentage for 2014   |   |  |  |   | <del> </del>   | 89.14%     |  |  |
| 15   | Public support percentage from 20  | 13 Schedule A, Pa   | rt II, line 14   |  |   | 15   | 95.32 %    |  |  |
| 16 a | <b>33-1/3% support test – 2014.</b> If t and <b>stop here.</b> The organization q  | he organization dic<br>ualifies as a public                     | f not check the box<br>ly supported organ  | on line 13, and the  | e line 14 is 33-1/39                                    | % or more, check ti  | his box    |  |  |
| b    | b 33-1/3% support test — 2013. If the organization did not check a box on line 13 or 16a, and line 15 is 33-1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization  |   |  |  |   |  |            |  |  |
|      | 10%-facts-and-circumstances terms or more, and if the organization methe organization meets the 'facts-and the organization meets the organization meets the organization meets the 'facts-and the organization meets and the organization meets a | ets the 'facts-and-o  | circumstances' test  | check this box an  | nd stop here Expl                                       | ain in Part VI how   | ▶ []       |  |  |
|      | 10%-facts-and-circumstances tes<br>or more, and if the organization me<br>organization meets the 'facts-and-c  | ets the facts-and-o<br>ircumstances' test.                      | circumstances' test.<br>The organization   | , check this box an<br>qualifies as a publi  | id <b>stop here.</b> Expla<br>cly supported orga        | ain in Part VI how t<br>nization   | he<br>     |  |  |
| 18   | Private foundation. If the organiza  | tion did not check  | a box on line 13, 1  | 6a, 16b, 17a, or 17  | b, check this box                                       | and see instruction  | s ▶        |  |  |

Part III Support Schedule for Organizations Described in Section 509(a)(2)
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

| Sec   | ction A. Public Support   |                    |                           |                        |                       |                |         | <u> </u>  |
|-------|---|--------------------|---------------------------|------------------------|-----------------------|----------------|---------|-----------|
| Cale  | ndar year (or fiscal yr beginning in) >   | (a) 2010           | (b) 2011                  | (c) 2012               | (d) 2013              | (e) 2014       | 1       | (f) Total |
| 1     | Gifts, grants, contributions<br>and membership fees<br>received. (Do not include<br>any 'unusual grants.')                                |                    |                           |                        |                       |                |         |           |
| 2     | Gross receipts from admissions, merchandise sold or services performed, or facilities   |                    |                           |                        |                       |                |         |           |
|       | furnished in any activity that is related to the organization's tax-exempt purpose  |                    |                           |                        |                       |                |         |           |
| 3     | Gross receipts from activities that are not an unrelated trade or business under section 513 .  |                    |                           |                        |                       |                |         |           |
| 4     | Tax revenues levied for the organization's benefit and either paid to or expended on its behalf   |                    |                           |                        |                       |                |         |           |
| 5     | The value of services or facilities furnished by a governmental unit to the organization without charge                                   |                    |                           |                        |                       |                |         |           |
| 6     | Total. Add lines 1 through 5  |                    |                           |                        |                       |                |         |           |
| 7 8   | a Amounts included on lines 1,<br>2, and 3 received from<br>disqualified persons  |                    |                           |                        |                       |                |         |           |
| 1     | and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13                     |                    |                           |                        |                       |                |         |           |
|       | for the year  |                    |                           |                        |                       |                | İ       |           |
| (     | Add lines 7a and 7b   |                    |                           |                        |                       |                |         |           |
| 8     | Public support (Subtract line 7c from line 6.)  |                    |                           |                        |                       |                |         |           |
| Sec   | tion B. Total Support   |                    |                           |                        |                       |                |         |           |
| Calen | ndar year (or fiscal yr beginning in) 🕨 👚   | (a) 2010           | (b) 2011                  | (c) 2012               | (d) 2013              | (e) 2014       |         | (f) Total |
| 9     | Amounts from line 6   |                    |                           |                        |                       |                |         | -         |
| 10 a  | a Gross income from interest, dividends,<br>payments received on securities loans,<br>rents, royallies and income from<br>similar sources |                    |                           |                        |                       |                |         |           |
| t     | Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975                                   |                    |                           |                        |                       |                |         |           |
|       | Add lines 10a and 10b   |                    |                           |                        |                       | ·              |         |           |
| 11    | Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on               |                    |                           |                        |                       |                |         |           |
| 12    | Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)   |                    |                           |                        |                       |                |         |           |
|       | Total support. (Add lines 9, 10c, 11 and 12.)   |                    |                           |                        |                       |                |         |           |
|       | First five years. If the Form 990 is organization, check this box and st  | top here           |                           | nird, fourth, or fifth | tax year as a section | on 501(c)(3)   |         |           |
|       | tion C. Computation of Pul  |                    |                           |                        |                       |                |         |           |
|       | Public support percentage for 2014  |                    |                           |                        |                       |                | 15      | 용         |
|       | Public support percentage from 20   |                    |                           |                        |                       |                | 16      | 96        |
|       | tion D. Computation of Inve   |                    |                           |                        |                       | <del>-</del> , |         |           |
|       | Investment income percentage for  |                    |                           |                        |                       |                | 17      | %         |
|       | Investment income percentage from   |                    |                           |                        |                       |                | 18      | %         |
|       | 33-1/3% support tests — 2014. If is not more than 33-1/3%, check th   | is box and stop he | ere. The organizati       | on qualifies as a p    | ublicly supported o   | rganization .  |         | ▶         |
|       | 33-1/3% support tests — 2013. If I line 18 is not more than 33-1/3%, c Private foundation. If the organiza                                | heck this box and  | <b>stop here.</b> The org | janization qualifies   | as a publicly supp    | orted organiz  | ation - |           |
| 20    | Private toundation, it the ordenize   |                    |                           |                        |                       |                |         |           |

Part IV Supporting Organizations

(Complete only if you checked a box on line 11 of Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

| Se  | ction A. All Supporting Organizations  |     |              |              |
|-----|--|-----|--------------|--------------|
|     |  | -   | Yes          | No           |
| 1   | Are all of the organization's supported organizations listed by name in the organization's governing documents? If 'No,' describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain  | 1   | 8 - 30       |              |
| 2   | Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If 'Yes,' explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2)   | 2   |              | 1 A.         |
| 3   | a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If 'Yes,' answer (b) and (c) below   | 3a  |              | <b>W</b> (2) |
|     | b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If 'Yes,' describe in Part VI when and how the organization made the determination  | 3b  |              |              |
|     | c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If 'Yes,' explain in <b>Part VI</b> what controls the organization put in place to ensure such use  | 3c  |              |              |
| 4   | a Was any supported organization not organized in the United States ('foreign supported organization')? If 'Yes' and if you checked 11a or 11b in Part I, answer (b) and (c) below   | 4a  |              |              |
|     | b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If 'Yes,' describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations   | 4b  |              |              |
|     | c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If 'Yes,' explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes   | 4c  |              |              |
| 5   | a Did the organization add, substitute, or remove any supported organizations during the tax year? If 'Yes,' answer (b) and (c) below (if applicable). Also, provide detail in <b>Part VI</b> , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document) | 5a  |              |              |
|     | b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?  | 5b  |              |              |
| •   | c Substitutions only. Was the substitution the result of an event beyond the organization's control?   | 5с  | les - and de |              |
| 6   | Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (a) its supported organizations; (b) individuals that are part of the charitable class benefited by one or more of its supported organizations; or (c) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If 'Yes,' provide detail in Part VI  | 6   |              |              |
| 7   | Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent controlled entity with regard to a substantial contributor? If 'Yes,' complete Part I of Schedule L (Form 990)   | 7   |              |              |
| 8   | Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If 'Yes,' complete Part I of Schedule L (Form 990)   | 8   |              |              |
| 9 a | a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))?  If 'Yes,' provide detail in Part VI   | 9a  |              |              |
| ł   | Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which the supporting organization had an interest? If 'Yes,' provide detail in Part VI   | 9b  |              |              |
| c   | Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If 'Yes,' provide detail in Part VI  | 9c  |              |              |
| 0 a | was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If 'Yes,' answer (b) below  | 10a |              |              |
| b   | Did the organization, have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)  | 10b |              |              |

| Sch | medule A (Form 990 or 990-EZ) 2014 Rare Species Conservatory Foundation   | 65-0560456   | Page 5       |
|-----|---|--|--------------|
| Pa  | irt IV Supporting Organizations (continued)   |  |              |
| 11  | Has the organization accepted a gift or contribution from any of the following persons?   | 10. Fe/k   | Yes No       |
|     | a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below governing body of a supported organization?  | , the<br>11a   |              |
|     | <b>b</b> A family member of a person described in (a) above?  |  |              |
|     | c A 35% controlled entity of a person described in (a) or (b) above? If 'Yes' to a, b, or c, provide detail in Part VI  |  |              |
| Sec | ction B. Type I Supporting Organizations  |  | <del>'</del> |
| 1   | Did the directors, trustees, or membership of one or more supported organizations have the power to regularly a or elect at least a majority of the organization's directors or trustees at all times during the tax year? If 'No,' desc Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's active if the organization had more than one supported organization, describe how the powers to appoint and/or remodurectors or trustees were allocated among the supported organizations and what conditions or restrictions, if an applied to such powers during the tax year | cribe in<br>vities.  | Yes No       |
| 2   | Did the organization operate for the benefit of any supported organization other than the supported organization that operated, supervised, or controlled the supporting organization? If 'Yes,' explain in Part VI how providing subenefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.   | ìch 🗀 💮  |              |
| Sec | ction C. Type II Supporting Organizations   |  |              |
| 1   | Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or to of each of the organization's supported organization(s)? If 'No,' describe in Part VI how control or management of supporting organization was vested in the same persons that controlled or managed the supported organization.   | of the   | Yes No       |
| Sec | ction D. All Type III Supporting Organizations  |  |              |
| 1   | Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (1) a written notice describing the type and amount of support provided during the prior tyear, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? .  | ax<br>1  | Yes No       |
| 2   | Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If 'No,' explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s)  |  |              |
| 3   | By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If 'Yes,' describe in Part VI the role the organization's supported organizations play in this regard   | red 3  |              |
| Sec | tion E. Type III Functionally-Integrated Supporting Organizations   |  |              |
| 1   | Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see  | instructions   |              |
| а   | □ - · · · · · · · · · · · · · · · · · ·   | ilisuucuolis);   |              |
| b   |   |  |              |
| c   |   | the fact to the state of the st |              |
|     |   | ty (see instructions).   |              |
|     | Activities Test. Answer (a) and (b) below.  | Fig. 14 Constitution of  | Yes No       |
|     | Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If 'Yes,' then in Part VI identify those supp organizations and explain how these activities directly furthered their exempt purposes, how the organization w responsive to those supported organizations, and how the organization determined that these activities constitute substantially all of its activities  | ported   |              |
|     | Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If 'Yes,' explain in Part VI the reasons the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement   | for 2b   |              |
| 3   | Parent of Supported Organizations. Answer (a) and (b) below.  |  |              |
| а   | Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI</i>  | 3a   |              |
| b   | Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of supported organizations? If 'Yes,' describe in Part VI the role played by the organization in this regard  | of its 3b  |              |

| Schedule A (Form 990 or 990-EZ) 2014 | Rare Species | Conservatory | Foundation |
|--------------------------------------|--------------|--------------|------------|
|--------------------------------------|--------------|--------------|------------|

| 65-0560456 |
|------------|
|------------|

Page 6

| Pa        | Type III Non-Functionally Integrated 509(a)(3) Supporting Org  |      |  | 00430 Fage   |
|-----------|--|------|--|--|
| 1         |  | Nove | mber 20, 1970. See instru  | ctions. All  |
| Se        | ction A – Adjusted Net Income  |      | (A) Prior Year   | (B) Current Year<br>(optional)   |
| _1        | Net short-term capital gain  | 1    |  |  |
| _2        |  | 2    |  |  |
| _3        | Other gross income (see instructions)  | 3    |  |  |
| 4         | Add lines 1 through 3  | 4    |  |  |
| 5         | Depreciation and depletion   | 5    |  |  |
| 6         | Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | 6    |  |  |
| _ 7       |  | 7    |  |  |
| 8         | Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)  | 8    |  |  |
| Sec       | ction B — Minimum Asset Amount   |      | (A) Prior Year   | (B) Current Year<br>(optional)   |
| 1         | Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):  |      |  |  |
|           | a Average monthly value of securities  | 1 a  |  |  |
|           | b Average monthly cash balances  | 1 b  |  |  |
|           | c Fair market value of other non-exempt-use assets   | 1 c  |  |  |
|           | d Total (add lines 1a, 1b, and 1c)   | 1 d  |  |  |
|           | e Discount claimed for blockage or other factors (explain in detail in Part VI):   | 200  |  |  |
| _2        | Acquisition indebtedness applicable to non-exempt-use assets   | 2    |  | and the second s |
| 3         | Subtract line 2 from line 1d   | 3    |  |  |
| 4         | Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)   | 4    |  |  |
| 5         | Net value of non-exempt-use assets (subtract line 4 from line 3)   | 5    |  |  |
| 6         | Multiply line 5 by .035  | 6    |  |  |
| 7         | Recoveries of prior-year distributions   | 7    |  |  |
| 8         | Minimum Asset Amount (add line 7 to line 6)  | 8    |  |  |
| Sec       | tion C — Distributable Amount  |      |  | Current Year   |
| _ 1       | Adjusted net income for prior year (from Section A, line 8, Column A)  | 1    |  |  |
| 2         | Enter 85% of line 1  | 2    |  |  |
| 3         | Minimum asset amount for prior year (from Section B, line 8, Column A)   | 3    |  |  |
| <u> 4</u> | Enter greater of line 2 or line 3  | 4    | A TOWN OF THE PARTY OF THE PART |  |
|           | Income tax imposed in prior year   | 5    |  |  |
| 6         | Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)  | 6    |  |  |
| 7         | Check here if the current year is the organization's first as a non-functionally-integrated (see instructions).  | Туре | e III supporting organization  | 1  |
| BAA       |  |      | Schedule A (Form   | 990 or 990-EZ) 2014  |

| Pai | t V 🛮 Type III Non-Functionally Integrated 509(a)(3) Sเ   | ıpporting Organiza                    | tions (continued)                      |   |
|-----|---|---------------------------------------|--|---|
| Sec | tion D - Distributions  |                                       |  | Current Year                              |
| 1   | Amounts paid to supported organizations to accomplish exempt purpos   |                                       |  |   |
| 2   | Amounts paid to perform activity that directly furthers exempt purposes in excess of income from activity   |                                       |  |   |
| 3   | Administrative expenses paid to accomplish exempt purposes of suppo   | orted organizations                   |  |   |
| 4   | Amounts paid to acquire exempt-use assets   |                                       |  |   |
| 5   | Qualified set-aside amounts (prior IRS approval required)   |                                       |  |   |
| 6   | Other distributions (describe in Part VI). See instructions   |                                       |  |   |
| 7   | Total annual distributions. Add lines 1 through 6   | <u></u>                               |  |   |
| 8   | Distributions to attentive supported organizations to which the organization Part VI). See instructions   |                                       |  |   |
| 9   | Distributable amount for 2014 from Section C, line 6  | · · · · · · · · · · · · · · · · · · · |  |   |
| 10  | Line 8 amount divided by Line 9 amount  |                                       |  |   |
| Sec | tion E – Distribution Allocations (see instructions)  | (i)<br>Excess<br>Distributions        | (ii)<br>Underdistributions<br>Pre-2014 | (iii)<br>Distributable<br>Amount for 2014 |
| 1   | Distributable amount for 2014 from Section C, line 6  | 2                                     |  |   |
| 2   | Underdistributions, if any, for years prior to 2014 (reasonable cause required — see instructions)  |                                       |  | 9   |
| 3   | Excess distributions carryover, if any, to 2014:  | 79.00                                 |  |   |
| а   |   |                                       |  |   |
| b   |   |                                       |  |   |
| С   |   |                                       |  |   |
| d   |   |                                       |  |   |
| е   | From 2013   |                                       |  |   |
| f   | Total of lines 3a through e   |                                       |  |   |
| g   | Applied to underdistributions of prior years  |                                       |  |   |
| h   | Applied to 2014 distributable amount  | 200                                   |  |   |
| i   | Carryover from 2009 not applied (see instructions)  |                                       |  |   |
| j   | Remainder. Subtract lines 3g, 3h, and 3i from 3f  |                                       |  |   |
| 4   | Distributions for 2014 from Section D,  |                                       |  |   |
|     | line 7: \$  |                                       |  |   |
| a   | Applied to underdistributions of prior years  |                                       |  |   |
|     | Applied to 2014 distributable amount  |                                       |  |   |
|     | Remainder. Subtract lines 4a and 4b from 4  |                                       |  |   |
| 5   | Remaining underdistributions for years prior to 2014, if any.  Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions) |                                       |  |   |
| 6   | Remaining underdistributions for 2014. Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)                         |                                       |  |   |
| 7   | Excess distributions carryover to 2015. Add lines 3j and 4c   |                                       | 100                                    |   |
| 8   | Breakdown of line 7:  |                                       |  |   |
| a   |   |                                       |  |   |
| b   |   |                                       | 100                                    |   |
| С   |   |                                       |  |   |
|     | Excess from 2013  |                                       |  |   |
| е   | Excess from 2014  |                                       |  | 7.700                                     |

BAA

Schedule A (Form 990 or 990-EZ) 2014

Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Other Addl Info The Organization received an unusual grant during 2014. Brad Kelly/ Rum Creek Ranch LLC gave \$100,000 as a one-time, 100% restricted grant to support fieldd conservation efforts by the Bongo surveillance Program operated in Kenya by the Rhino Ark Charitable Trust. No funds retained by RSCF for overhead, indirect expenses, grant administration or operations.

#### Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service Name of the organization

# **Schedule of Contributors**

► Attach to Form 990, Form 990-EZ, or Form 990-PF

► Attach to Form 990, Form 990-EZ, or Form 990-PF
Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2014

Employer identification number

| Rare Species Conservatory Four   | ndation   | 65-0560456  |  |  |  |  |  |
|--|---|---|--|--|--|--|--|
| Organization type (check one):   |   |   |  |  |  |  |  |
| Filers of:   | Section:  |   |  |  |  |  |  |
| Form 990 or 990-EZ   | <ul> <li>X 501(c)( 3 ) (enter number) organization</li> <li>4947(a)(1) nonexempt charitable trust <b>not</b> treated as a priv</li> <li>527 political organization</li> </ul>   | ate foundation  |  |  |  |  |  |
| Form 990-PF  | 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private 501(c)(3) taxable private foundation   | foundation  |  |  |  |  |  |
| Check if your organization is covered by the Gene  | ral Rule or a Special Rule  |   |  |  |  |  |  |
| Note. Only a section 501(c)(7), (8), or (10) organiz   | ation can check boxes for both the General Rule and a Special   | Rule. See instructions.                                     |  |  |  |  |  |
| Special Rules  For an organization described in section 501(c under sections 509(a)(1) and 170(b)(1)(A)(vi).   | r 990-PF that received, during the year, contributions totaling \$5 Parts I and II. See instructions for determining a contributor's total and II. See instructions for determining a contributor's total and II. See instructions for determining a contributor's total and II. See instructions for determining a contributor's total and II. See instructions for determining a contributor set of the second s | al contributions.  t of the regulations 16a or 16b and that |  |  |  |  |  |
| Form 990, Part VIII, line 1h, or (ii) Form 990-E   | rear, total contributions of the greater of (1) \$5,000 or (2) 2% of tZ, line 1. Complete Parts I and II.   | ihe amount on (i)   |  |  |  |  |  |
| For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III. |   |   |  |  |  |  |  |
| during the year, contributions exclusively for re<br>\$1,000. If this box is checked, enter here the to<br>charitable, etc., purpose. Do not complete any  | )(7), (8), or (10) filing Form 990 or 990-EZ that received from any ligious, charitable, etc., purposes, but no such contributions total that contributions that were received during the year for an exclusion of the parts unless the <b>General Rule</b> applies to this organization etc., contributions totaling \$5,000 or more during the year   | aled more than<br>sively religious,<br>n because            |  |  |  |  |  |

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Page

1 of Part 1

Rare Species Conservatory Foundation

Employer identification number

| Part I        | Contributors (see instructions) Head while the                                    |                               | 0560456   |
|---------------|---|-------------------------------|---|
|               | Contributors (see instructions). Use duplicate copies of Part I if additional spa | ace is needed.                |   |
| (a)<br>Numbe  | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total<br>contributions | (d)<br>Type of contribution   |
| 1             | The Miami Foundation  |                               | Person X Payroli  |
|               | 200 South Biscayne Blvd, Suite 505  | \$ <u>_125,000</u>            | . Noncash   |
| (-)           | Miami FL 33131-5330   | - –                           | (Complete Part II for noncash contributions.)                           |
| (a)<br>Number | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total<br>contributions | (d) Type of contribution  |
| 2             | Brad Kelly  |                               | Person X  |
|               | 2200 Lapsley Lane   | \$100,000                     | Payroll Noncash   |
|               | Bowling Green KY 42103  | _                             | (Complete Part II for noncash contributions.)                           |
| (a)<br>Number | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total<br>contributions | (d)<br>Type of contribution   |
| 3             | Ms Tami Hoag  11812 San Vicente Blvd 4th Floor  Los Angeles CA 90049              | \$ <u>5,000</u> .             | Person X Payroli Noncash  (Complete Part II for noncash contributions.) |
| (a)<br>Number | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total<br>contributions | (d)<br>Type of contribution   |
| 4             | Hufty Foundation  |                               | Person X  |
|               | 982 S Patrick Circle  | \$ <u>14,000.</u>             | Payroll Noncash   |
|               | West Palm Beach FL 33406  | -                             | (Complete Part II for noncash contributions.)                           |
| (a)<br>lumber | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total<br>contributions | (d)<br>Type of contribution   |
| 5             | Morris Animal Foundation  |                               | Person X  |
|               | 10200 East Girad Avenue, Suite B430   | \$ <u>17,902.</u>             | Payroll Noncash   |
|               | Denver CO 80231   |                               | (Complete Part II for noncash contributions.)                           |
| (a)<br>umber  | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total<br>contributions | (d)<br>Type of contribution   |
|               |   | \$                            | Person Payroll Noncash Complete Part II for noncash contributions.)     |

### SCHEDULE D (Form 990)

Supplemental Financial Statements

Complete if the organization answered 'Yes,' to Form 990,
Part IV, lines 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047 2014

Department of the Treasury Internal Revenue Service Name of the organization

Open to Public Inspection Employer identification number

|     | Rare Species Conservatory Foundation   | 65-0560456                      |
|-----|--|---------------------------------|
| Pa  | Organizations Maintaining Donor Advised Funds or Other Similar Funds or Ac   | 165-0560456                     |
|     | Complete if the organization answered 'Yes' to Form 990, Part IV, line 6.  | ·                               |
|     | (a) Donor advised funds (b) F  | unds and other accounts         |
| 1   |  |                                 |
| 2   | Aggregate value of contributions to (during year)  |                                 |
| 3   | Aggregate value of grants from (during year)   |                                 |
| 4   | Aggregate value at end of year   |                                 |
| 5   | Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?   | · · · · Yes No                  |
| 6   | for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?  | Yes No                          |
| Pa  | conservation Easements.  |                                 |
|     | Complete if the organization answered 'Yes' to Form 990, Part IV, line 7.  |                                 |
| 1   | The state of the s |                                 |
|     | Preservation of land for public use (e.g., recreation or education)  | important land area             |
|     | Protection of natural habitat Preservation of a certified his  | storic structure                |
| _   | Preservation of open space   |                                 |
| 2   | last day of the tax year.  |                                 |
|     |  | leld at the End of the Tax Year |
|     | a Total number of conservation easements   |                                 |
|     | b Total acreage restricted by conservation easements   |                                 |
|     | c Number of conservation easements on a certified historic structure included in (a) 2 c   |                                 |
|     | d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register   |                                 |
| 3   | Number of conservation easements modified, transferred, released, extinguished, or terminated by the organizat tax year ►  | ion during the                  |
| 4   | Number of states where property subject to conservation easement is located ►  |                                 |
| 5   | Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?   | Yes No                          |
| 6   | Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the ye  | ear 🗀                           |
| 7   | Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ►\$  |                                 |
| 8   | Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?  | · · · · Yes No                  |
| 9   | In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement include, if applicable, the text of the footnote to the organization's financial statements that describes the organization easements.   | t and belones that              |
| Par | Organizations Maintaining Collections of Art, Historical Treasures, or Other Sim Complete if the organization answered 'Yes' to Form 990, Part IV, line 8.   | ilar Assets.                    |
| 1 8 | a If the organization elected, as permitted under SEAS 116 (ASC 958), not to report in its revenue statement and by  | alance sheet works of           |
| ı   | art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of in Part XIII, the text of the footnote to its financial statements that describes these items.   | •                               |
|     | b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balan historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public following amounts relating to these items:  | ic service, provide the         |
|     | (i) Revenue included in Form 990, Part VIII, line 1  | ▶ \$                            |
| _   | (ii) Assets included in Form 990, Part X   | ▶\$                             |
| 2   | If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provamounts required to be reported under SFAS 116 (ASC 958) relating to these items:   | *                               |
| a   | Revenue included in Form 990, Part VIII, line 1  |                                 |
|     | Assets included in Form 990, Part X  | <b>▶</b> \$                     |

| Part III Organizations Maint  | aining Colle                 | ctions of Art, Hi                       | storical Treasures                      | s, or Other Similar As                  | sets (conti    | inued)   |  |  |  |
|---|------------------------------|---|---|---|----------------|----------|--|--|--|
| 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply): |                              |   |   |   |                |          |  |  |  |
| a Public exhibition d Loan or exchange programs   |                              |   |   |   |                |          |  |  |  |
| b Scholarly research  | b Scholarly research e Other |   |   |   |                |          |  |  |  |
| c Preservation for future general   |                              | <del></del>                             |   |   | <del></del>    |          |  |  |  |
| r Greydii.  | Part XIII.                   |   |   |   |                |          |  |  |  |
| 5 During the year, did the organizati to be sold to raise funds rather that   | an to be maintai             | ned as part of the org                  | anization's collection?.                |   | Yes            | No       |  |  |  |
| Part W Escrow and Custodia line 9, or reported an a   | amount on Fo                 | orm 990, Part X, I                      | ine 21.                                 |   | า 990, Part    | IV,      |  |  |  |
| 1a is the organization an agent, trustee on Form 990, Part X?   |                              |   |   | assets not included                     | Yes            | No       |  |  |  |
| <b>b</b> If 'Yes,' explain the arrangement in   | n Part XIII and c            | omplete the following                   | table:                                  |   |                |          |  |  |  |
| c Beginning balance   |                              |   |   | 1c                                      | Amount         |          |  |  |  |
| d Additions during the year   |                              |   |   | 1 d                                     |                |          |  |  |  |
| e Distributions during the year   |                              |   |   | 1e                                      |                |          |  |  |  |
| f Ending balance  |                              | · • • • • • • • • • • • • • • • • • • • |   | 1f                                      |                |          |  |  |  |
| 2 a Did the organization include an am  | nount on Form 9              | 90, Part X. line 21. fo                 | r escrow or custodial ad                | count liability?                        | Yes            |          |  |  |  |
| b If 'Yes,' explain the arrangement in  | n Part XIII. Chec            | k here if the explanati                 | on has been provided i                  | n Part XIII                             |                | No       |  |  |  |
| Part V Endowment Funds. C   | complete if th               | e organization ar                       | swered 'Yes' to Fo                      | orm 990 Part IV line 1                  | 0              |          |  |  |  |
|   | (a) Current ye               |   |   |   |                | h1:      |  |  |  |
| 1 a Beginning of year balance   |                              | (4)                                     | (c) Two years t                         | du) Tillee years back                   | (e) Four year  | ars back |  |  |  |
| <b>b</b> Contributions  |                              |   |   |   | <del></del>    |          |  |  |  |
| c Net investment earnings, gains,   |                              |   |   |   |                |          |  |  |  |
| and losses  |                              |   |   |   |                |          |  |  |  |
| d Grants or scholarships  |                              |   |   |   |                |          |  |  |  |
| e Other expenditures for facilities and programs  |                              |   |   |   |                |          |  |  |  |
| f Administrative expenses   |                              |   |   |   |                |          |  |  |  |
| g End of year balance   | !<br>                        |   |   |   |                |          |  |  |  |
| 2 Provide the estimated percentage of   | of the current ye            | ar end balance (line 1                  | g, column (a)) held as:                 |   |                |          |  |  |  |
| a Board designated or quasi-endown  | nent -                       | %                                       |   |   |                |          |  |  |  |
| b Permanent endowment   | <u> </u>                     |   |   |   |                |          |  |  |  |
| c Temporarily restricted endowment  |                              | <del></del> %                           |   |   |                |          |  |  |  |
| The percentages in lines 2a, 2b, an   | ıd 2c should equ             | ıal 100%.                               |   |   |                |          |  |  |  |
| 3 a Are there endowment funds not in the  | he possession o              | of the organization tha                 | it are held and administ                | ered for the                            |                |          |  |  |  |
| organization by.  |                              |   |   |   | Yes            | No       |  |  |  |
| (i) unrelated organizations   |                              | • | • |   | 3a(i)          |          |  |  |  |
| (ii) related organizations h If 'Yes' to 3a(ii) are the related organizations   | minations lists d            |   |   | • | 3a(ii)         |          |  |  |  |
| <ul><li>b If 'Yes' to 3a(ii), are the related orga</li><li>Describe in Part XIII the intended us</li></ul>  | anizations isted             | as required on Sched                    | ule R?                                  | • | 3b             |          |  |  |  |
| Part VI Land, Buildings, and E  | ses of the organ             | ization's endowment                     | runas.                                  |   |                |          |  |  |  |
| Complete if the organiza  | -quipment.<br>ation answer   | ed 'Ves' to Form (                      | 000 Port IV line 4:                     | 1- C E- 000 D                           |                |          |  |  |  |
| Description of property   |                              |   |   | T                                       |                |          |  |  |  |
|   |                              | Cost or other basis (investment)        | (b) Cost or other basis (other)         | (c) Accumulated depreciation            | (d) Book va    | alue     |  |  |  |
| 1 a Land  | j.                           | 905,208.                                |   |   | 905            | ,208.    |  |  |  |
| <b>b</b> Buildings  | ,                            | 15,000.                                 |   | 8,204.                                  |                | 796.     |  |  |  |
| c Leasehold improvements  |                              |   |   |   |                |          |  |  |  |
| d Equipment   | <u> </u>                     | 68,853.                                 |   | 48,533.                                 | 20             | ,320.    |  |  |  |
| e Other   |                              | 7,575.                                  |   | 7,575.                                  |                | 0.       |  |  |  |
| otal. Add lines 1a through 1e. <i>(Column (c</i>  | a) must equal Fo             | orm 990, Part X, colui                  | mn (B), line 10c.)                      |   |                | ,324.    |  |  |  |
| rus.  |                              |   |   | Schedu                                  | le D (Form 990 | 0) 2014  |  |  |  |

(5) (6) (7)(8)(9) (10)(11)Total. (Column (b) must equal Form 990, Part X, column (B) line 25.) . . . .

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

| Part VI Possonilistics of Possons A. III I F  | 03-0300436  | rage 4                                |
|---|-------------|---------------------------------------|
| Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per | er Return.  |                                       |
| Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.         |             |                                       |
| 1 Total revenue, gains, and other support per audited financial statements          | 1           |                                       |
| 2 Amounts included on line 1 but not on Form 990, Part VIII, line 12:               |             |                                       |
| a Net unrealized gains (losses) on investments                                      |             |                                       |
| b Donated services and use of facilities  |             |                                       |
| c Recoveries of prior year grants   |             |                                       |
| d Other (Describe in Part XIII.)  |             |                                       |
| e Add lines 2a through 2d   | 2e          |                                       |
| 3 Subtract line 2e from line 1  | 3           |                                       |
| 4 Amounts included on Form 990, Part VIII, line 12, but not on line 1:              | 82.534      |                                       |
| a Investment expenses not included on Form 990, Part VIII, line 7b 4a               |             |                                       |
| b Other (Describe in Part XIII.)  |             |                                       |
| c Add lines 4a and 4b   | - 4c        |                                       |
| 5 Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)   | 5           |                                       |
| Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses  | per Return  | · · · · · · · · · · · · · · · · · · · |
| Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.         | oor noturn. |                                       |
| 1 Total expenses and losses per audited financial statements.                       |             | <del></del>                           |
| 2 Amounts included on line 1 but not on Form 990, Part IX, line 25:                 | • • • 1     |                                       |
| a Donated services and use of facilities  |             |                                       |
| b Prior year adjustments  |             |                                       |
| c Other leades  |             |                                       |
| d Other (Describe in Part XIII.)  |             |                                       |
| e Add lines 2a through 2d   |             |                                       |
| 3 Subtract line 2e from line 1  | 2e          |                                       |
| 4 Amounts included on Form 990, Part IX, line 25, but not on line 1:                | 3           |                                       |
| a Investment expenses not included on Form 990, Part VIII, line 7b                  |             |                                       |
| b Other (Describe in Part XIII.)  |             |                                       |
| c Add lines 4a and 4b   |             |                                       |
| 5 Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)  | 4c          |                                       |
| Part XIII Supplemental Information.   |             |                                       |
|   |             |                                       |

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part XI, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

#### Schedule F (Form 990)

# Statement of Activities Outside the United States

Complete if the organization answered 'Yes' on Form 990, Part IV, line 14b, 15, or 16.

Attach to Form 990.

Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

QUI4
Open to Public
Inspection

Department of the Treasury Internal Revenue Service Name of the organization

Employer identification number

Rare Species Conservatory Foundation | 65-0560456

Part I General Information on Activities Outside the United States. Complete if the organization answered 'Yes' on Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?.... XYes No.

For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

| 1 0 (   | 1                                   | - 12.5 Juli 20 ut  | phodica ii dddidonai space is ne  | T I  |   |
|---|-------------------------------------|--|---|--|---|
| (a) Region  | (b) Number of offices in the region | (c) Number of employees, agents, and independent contractors in region   | (d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region   | (f) Total<br>expenditures for<br>and investments<br>in region |
| (1) Central America                               | 0                                   | 0  | Program Service   | See Schedule F - Part V  | 8,453.  |
| (2) Sub-Saharan Africa                            | 0                                   | 0  | Program Service   | See Schedule F- Part V   | 42,278.   |
| (3) South America                                 | 0                                   | 0  | Program Service   | see Schedule F - Part V  | 3,000.  |
| (4)   |                                     |  |   |  |   |
| (5)   |                                     |  |   |  |   |
| (6)   |                                     |  |   |  |   |
| (7)   |                                     |  |   |  |   |
| (8)   |                                     |  |   |  |   |
| (9)   |                                     |  |   |  |   |
| (10)  |                                     |  |   |  |   |
| (11)  |                                     |  |   |  |   |
| (12)  |                                     |  |   |  |   |
| (13)  |                                     |  |   |  |   |
| (14)  |                                     |  |   |  |   |
| (15)  |                                     |  |   |  |   |
| (16)  |                                     |  |   |  |   |
| (17)  |                                     |  |   |  |   |
| 3 a Sub-total                                     | 0                                   | 0  |   |  | 53,731.   |
| <b>b</b> Total from continuation sheets to Part I |                                     | A secular secu |   |  |   |
| c Totals (add lines 3a and 3b) .                  | 0                                   | 0  |   | A CONTRACTOR OF THE CONTRACTOR | 53,731.   |
| <b>BAA For Paperwork Reduction A</b>              | ct Notice, see the                  | Instructions for   | Form 990  | Schodul  | o E (Form 000) 2014   |

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2014

Schedule F (Form 990) 2014 Rare Species Conservatory Foundation

Partil Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered 'Yes' on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

| hod of<br>(book,<br>praisal,<br>er)                   |                                      |                       |     |   |     |     |     |     |      |      |      |      |      |       |      |  | 1            | 1) 2014                    |
|---|--------------------------------------|-----------------------|-----|---|-----|-----|-----|-----|------|------|------|------|------|-------|------|--|--------------|----------------------------|
| (i) Method of valuation (book, FMV, appraisal, other) |                                      |                       |     |   |     |     |     |     |      |      |      |      |      |       |      |  |              | (Form 99)                  |
| (h) Description of<br>non-cash<br>assistance          |                                      |                       |     | 1 |     |     |     |     |      |      |      |      |      |       |      | /hich  |              | Schedule F (Form 990) 2014 |
| (g) Amount of non-cash assistance                     |                                      |                       |     |   |     |     |     |     |      |      |      |      |      |       |      | by the IRS, or for w   |              |                            |
| (f) Manner of cash disbursement                       | Wire Transfer/Check                  | Wire/Check            |     |   |     |     |     |     |      |      |      |      |      |       |      | ized as tax-exempt   |              |                            |
| (e) Amount of cash grant                              | 31,000.                              | 8,453.                |     |   |     |     |     |     |      |      |      |      |      |       |      | ign country, recogn  |              |                            |
| (d) Purpose of grant                                  | Wildlife Research                    | America Wildlife Cons |     |   |     |     |     |     |      |      |      |      |      |       |      | arities by the fore  |              |                            |
| (c) Region  | Sub-Saharan Africa Wildlife Research | Central America       |     |   |     | •   |     |     |      |      |      |      | 77.  |       |      | are recognized as ch<br>lency letter   |              |                            |
| (b) IRS code section and EIN (if applicable)          |                                      |                       |     |   |     |     |     |     |      |      |      |      |      |       |      | ons listed above that a ction 501(c)(3) equiva   | or entities. |                            |
| 1 (a) Name of organization                            | (1)                                  | (2)                   | (4) | (5)                                     | (9) | (7) | (8) | (6) | (10) | (11) | (12) | (13) | (14) | ((15) | (16) | 2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter |              | BAA                        |

Page 3

Schedule F (Form 990) 2014 Rare Species Conservatory Foundation

Parkill Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered 'Yes' on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

| tion of (h) Method of sistance valuation (book, FMV, appraisal, other) |     |     |  |     |  |     |     |     |     |      |      |      |      |      |      |      |      |      | Schedule F (Form 990) 2014 |
|--|-----|-----|--|-----|--|-----|-----|-----|-----|------|------|------|------|------|------|------|------|------|----------------------------|
| (g) Description of non-cash assistance                                 |     |     |  |     |  |     |     |     |     |      |      |      |      |      |      |      |      |      | ŏ                          |
| (f) Amount of non-<br>cash assistance                                  |     |     | The state of the s |     |  |     |     |     |     |      |      |      |      |      |      |      |      |      |                            |
| (e) Manner of cash disbursement  |     |     |  |     | The state of the s |     |     |     |     |      |      |      |      |      |      |      |      |      |                            |
| (d) Amount of cash grant   |     |     |  |     |  |     |     |     |     |      |      |      |      |      |      |      |      |      |                            |
| (c) Number<br>of recipients  |     |     |  |     |  |     |     |     |     |      |      |      |      |      |      |      |      |      |                            |
| (b) Region   |     |     |  |     |  |     |     |     |     |      |      |      |      |      |      |      |      |      |                            |
| (a) Type of grant or assistance  | (1) | (2) | (3)  | (4) | (5)  | (9) | (7) | (8) | (6) | (10) | (11) | (12) | (13) | (14) | (15) | (16) | (17) | (18) | ВАА                        |

| Sch | edule F (Form 990) 2014 Rare Species Conservatory Foundation   | 65-0560456            | Page 4 |
|-----|--|-----------------------|--------|
| Fe  | Foreign Forms  |                       |        |
| 1   | Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If 'Yes,' the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)   | · · · · · · · · · Yes | X No   |
| 2   | Did the organization have an interest in a foreign trust during the tax year? If 'Yes,' the organization may be required to file Form 3520, Annual Return To Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; do not file with Form 990) |                       | X No   |
| 3   | Did the organization have an ownership interest in a foreign corporation during the tax year? If 'Yes,' the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471)   | Yes                   | X No   |
| 4   | Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If 'Yes,' the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)  | □v                    |        |
| 5   | Did the organization have an ownership interest in a foreign partnership during the tax year? If 'Yes,' the organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain Foreign Partnerships (see Instructions for Form 8865).  | L                     | X No   |
| 6   | Did the organization have any operations in or related to any boycotting countries during the tax year? If 'Yes,' the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713; do not file with Form 990)   |                       | X No   |
| BAA | TEEA3505 06/16/13  | Schodule F (Fa        |        |

Schedule F (Form 990) 2014

TEEA3505 06/16/13

### Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

Pt I Line 2

Grant Monitoring: All grants are required to have a detailed budget, expense tracking along with interim and follow-up reports, in addition to reporting requirements by the original granting agency.

# 2014 990 Misc. responses:

# Schedule F, Parts I and II

# Central America/Caribbean (\$8453 total):

Dominica: Expended \$4453 (direct expenses by Reillo via credit card, cash and checks) in field running/travel costs, personnel support, supplies for the Parrot Conservation and Research Centre and equipment to conduct wildlife conservation, research and recovery programs in—country and collaboratively with Dominica's Forestry, Wildlife and Parks Division, Ministry of Agriculture and the Environment. Notably, RSCF purchased and delivered an isoflurane anesthesia machine for the Parrot Conservation and Reseach Centre, located at the Government Aviary and Botanical Gardens in Roseau. RSCF also sponsored travel, support and training costs associated with a two-week externship in avian medicine and husbandry for delegates from the Forestry, Wildlife and Parks Division and Veterinary Service. The externship was conducted at RSCF and in collaboration with the Rainforest Clinic for Birds and Exotics in Loxahatchee, FL.

## Grant support comprised:

(1) \$4000 (wire transfers) to Dominica's Forestry, Wildlife and Parks Division for: (1) implementation of the 2014 Caribbean Endemic Birds Festival (an annual, community-based outreach program to educate children and the general public about avian conservation, ecology, and natural resource protection); (2) to conduct Imperial parrot surveys under a grant agreement with the Loro Parque Fundacion; and (3) for aviary, research equipment and general field-support operations during 2014.

### South America (\$3000 total)

Brazil: \$3000 grant (via wire transfer) to Brazilian NGO Fundação Neotrópica do Brasil to support a survey of wild, endangered Red-browed Amazon parrots (Amazona rhodocorytha) in the Brazilian state of Minas Gerais. Study is entitled: "Distribution, Ecology and Conservation Status of the Red-browed Parrot Amazona rhodocorytha in the State of Minas Gerais, Brazil."

### Sub-Saharan Africa (\$42,278 total)

Kenya: \$31,000 grant (via wire transfer) to Rhino Ark Charitable Trust for field operations, wildlife protection and surveillance and community outreach under the Bongo Surveillance Programme (BSP). This program coordinates bongo antelope population management, field monitoring, community education and awareness, wildlife clubs, antelope surveillance, population surveys, and data/sample collection. All field bongo research is coordinated between the Bongo Surveillance Programme, Rhino Ark Charitable Trust and the Kenya Wildlife Service.

South Africa: \$10,593 dispersed by check directly to RSCF Research Associate Dr. Michele Miller for research expenses related to lion tuberculosis in South Africa on behalf of Morris Animal Foundation grant. \$685.12 dispersed by check to Dr. Michele Miller on behalf of American Association of Zoo Veterinarians Research grant for conservation research on rhinoceros diseases in South Africa. Dr. Miller's research program was conducted in collaboration with South African National Parks (South Africa), Stellenbosch University (South Africa), Department of Agriculture, Forests, and Fisheries/DAFF (South Africa), and Colorado State University (USA).

#### SCHEDULE O (Form 990 or 990-EZ)

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ. ► Information about Schedule O (Form 990 or 990-EZ) and its instructions is OMB No. 1545-0047

2014

Open to Public Inspection

Department of the Treasury Internal Revenue Service Name of the organization

at www.irs.gov/form990.

Rare Species Conservatory Foundation

65-0560456

Employer identification number

A copy of the 990 is provided to the Directors before filing. The 990 with backup is prepared with and reviewed by a tax accounting professional and sent back to RSCF for corrections. After all corrections are made and the return is reviewed by the accountant, a clean copy is prepared for signing and PDF format, and distributed to the directors for approval. The final copy is signed, updated to PDF for Pt VI, Line 11b redistribution to the directors, and filed with the IRS.

Pt VI, Line 12c Conflict of Interest Policy: See schedule 0 - Attachment

Whistleblower Protection Policy: See Schedule 0 - Attachment

#### **Schedule O:**

#### Part VI Line 12c:

Conflict of Interest Policy. RSCF Directors are requested to disclose any conflict of interest annually. Any and all potential conflicts must be disclosed via signed letter monitored by each program's director and/or RSCF's President. Such disclosure, by notice in writing, shall be made by any and all interested parties to RSCF's full Board of Directors in all conflicts of interest including, but not limited to, the following:

- A board member's relationship to other members
- If a board member or his/her organization or financial interest stands to benefit from any RSCF transaction
- A board member's organization and/or financial interest receives RSCF grant funds
- A board member or staff member is part of the governing body of any contributor to the RSCF
- · A board member in any way influences, or attempts to influence, any contributor to the RSCF

### Part VI, Line 19:

# Whistleblower Protection Policy, Rare Species Conservatory Foundation, Inc. (RSCF):

- A. <u>Application</u>. This Whistleblower Protection Policy applies to all of the RSCF's staff, whether full-time, part-time, or temporary employees, to all volunteers, to all who provide contract services, and to all officers and directors, each of whom shall be entitled to protection.
- B. Reporting Credible Information. A protected person shall be encouraged to report information relating to illegal practices or violations of policies of RSCF (a "Violation") that such person in good faith has reasonable cause to believe is credible. Information shall be reported to RSCF's President (As Compliance Officer), unless the report relates to the President, in which case the report shall be made to any officer or director of RSCF's Board of Directors, or RSCF's Curator, whom shall be responsible to provide an alternative procedure. Anyone reporting a Violation must act in good faith, and have reasonable grounds for believing that the information shared in the report indicates that a Violation has occurred.
- C. <u>Investigating Information</u>. The Compliance Officer shall promptly investigate each such report and prepare a written report to the Board of Directors. In connection with such investigation all persons entitled to protection shall provide the Compliance Officer with credible information. All actions of the Compliance Officer in receiving and investigating the report and additional information shall endeavor to protect the confidentiality of all persons entitled to protection.
- D. <u>Confidentiality</u>. RSCF encourages anyone reporting a Violation to identify himself or herself when making a report in order to facilitate the investigation of the Violation. However, reports may be submitted anonymously by filing a written complaint by e-mail (<u>info@rarespecies.org</u>) or regular mail, addressed to the RSCF Board of Directors or President. Reports of Violations or suspected Violations will be kept confidential to the extent possible, with the understanding that confidentiality may not be

maintained where identification is required by law or in order to enable RSCF or law enforcement to conduct an adequate investigation.

- E. <u>Protection from Retaliation</u>. No person entitled to protection shall be subjected to retaliation, intimidation, harassment, or other adverse action for reporting information in accordance with this Policy. Any person entitled to protection who believes that he or she is the subject of any form of retaliation for such participation should immediately report the same as a violation of and in accordance with this Policy. Any individual within the Organization who retaliates against another individual who has reported a Violation in good faith or who, in good faith, has cooperated in the investigation of a Violation is subject to discipline, including termination of employment or volunteer status.
  - F. <u>Dissemination and Implementation of Policy</u>. This Policy shall be available and disseminated in writing to all affected constituencies. RSCF shall adopt procedures for implementation of this Policy, which may include, but are not limited to, the following:
  - (1) documenting reported Violations;
  - (2) working with legal counsel to decide whether the reported Violation requires review by the Compliance Officer or should be directed to another person or department;
  - (3) keeping RSCF's board of directors informed of the progress of the investigation:
  - (4) interviewing employees;
  - (5) requesting and reviewing relevant documents, and/or requesting that an auditor or counsel investigate the complaint; and
  - (6) preparing a written record of the reported violation and its disposition, to be retained for a specified period of time.

The procedures for implementation of this Policy shall include a process for communicating with a complainant about the status of the complaint, to the extent that the complainant's identity is disclosed, and to the extent consistent with any privacy or confidentiality limitations.

# Form 4562

# **Depreciation and Amortization** (Including Information on Listed Property)

► Attach to your tax return.

2014

179

OMB No. 1545-0172

Department of the Treasury Internal Revenue Service Name(s) shown on return

(99) Information about Form 4562 and its separate instructions is at www.irs.gov/form4562.

ldentifying number

Rare Species Conservatory Foundation 65-0560456 Business or activity to which this form relates Part | Election To Expense Certain Property Under Section 179 Note: If you have any listed property, complete Part V before you complete Part I. 1 Total cost of section 179 property placed in service (see instructions)...... 2 2 3 Threshold cost of section 179 property before reduction in limitation (see instructions) 3 4 Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing 6 (a) Description of property (b) Cost (business use only) Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7 . . . . . . . . . 8 9 10 Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instrs) . . . . 11 Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11. Carryover of disallowed deduction to 2015. Add lines 9 and 10, less line 12 . . . . . . . ▶ Note: Do not use Part II or Part III below for listed property. Instead, use Part V. Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions.) Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions) 14 15 16 Part III MACRS Depreciation (Do not include listed property.) (See instructions.) Section A 9,415 If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, čheck here Section B — Assets Placed in Service During 2014 Tax Year Using the General Depreciation System (a) Classification of property (b) Month and (c) Basis for depreciation (d) (e) (g) Depreciation year placed in service (business/investment use only — see instructions) Recovery period Convention 19 a 3-year property . . . . . **b** 5-year property . . . . . c 7-year property . . . . . d 10-year property . . . . . e 15-year property . . . . . f 20-year property . . . . g 25-year-property . . . . . 25 yrs S/L h Residential rental 27.5 yrs MM S/L property . . . . . . . . 27.5 vrs MM S/L i Nonresidential real 39 yrs MM S/L MM S/L Section C — Assets Placed in Service During 2014 Tax Year Using the Alternative Depreciation System 20 a Class life . . . . . . . . . S/L **b** 12-year...... 12 yrs S/L **c** 40-year. . . . . . . . . . . . . 40 yrs MM S/L Part IV Summary (See instructions.) 21 Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations — see instructions . . . . 9,415.

For assets shown above and placed in service during the current year, enter 

Page 2

Form 4562 (2014) Rare Species Conservatory Foundation Part V Listed Property (Include automobiles, certain other vehicles, certain aircraft, certain computers, and property used for 65-0560456 entertainment, recreation, or amusement,) Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable. Section A – Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.) 24 a Do you have evidence to support the business/investment use claimed? . . . . . . Yes No 24b If 'Yes,' is the evidence written? . . . Yes No (a) (e) (f) (g) (h) Type of property (i) Elected Business/ investment Cost or Date placed Basis for depreciation Recovery Method/ Depreciation (list vehicles first) other basis (business/investment period Convention use percentage deduction section 179 use only) cost Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions) Property used more than 50% in a qualified business use: 26 Property used 50% or less in a qualified business use: Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1 . . . . . . . . . 28 29 Add amounts in column (i), line 26. Enter here and on line 7, page 1 29 Section B - Information on Use of Vehicles Complete this section for vehicles used by a sole proprietor, partner, or other 'more than 5% owner,' or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles. (a) Total business/investment miles driven (b) (d) Vehicle 4 30 (c) Vehicle 3 Vehicle 1 Vehicle 2 during the year (do not include Vehicle 5 Vehicle 6 commuting miles). . . . . . . . . Total commuting miles driven during the year . . . Total other personal (noncommuting) Total miles driven during the year. Add 33 Yes No Yes No Yes No Yes No Yes No Yes No Was the vehicle available for personal use Was the vehicle used primarily by a more than 5% owner or related person? . . . . Is another vehicle available for Section C — Questions for Employers Who Provide Vehicles for Use by Their Employees Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons (see instructions). Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, No by your employees? Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your 38 employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners... Do you treat all use of vehicles by employees as personal use?.... 39 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received? Do you meet the requirements concerning qualified automobile demonstration use? (See instructions.) . **Note:** If your answer to 37, 38, 39, 40, or 41 is 'Yes,' do not complete Section B for the covered vehicles. Part VI Amortization (a) (b) (c) Amortizable (d) (e) Description of costs (f) Date amortization Amortization Amortization begins amount section period or for this year percentage Amortization of costs that begins during your 2014 tax year (see instructions): 43 Amortization of costs that began before your 2014 tax year. . . . . . 43 Total. Add amounts in column (f). See the instructions for where to report . . 44

44

Schedule O (Form 990 or 990-EZ), Supplemental Information to Form 990 or 990-EZ Form 990, Page 10, Line 24e All Other Expenses (continued)

| Description                        | (A)<br>Total | (B)<br>Program<br>services | (C)<br>Management<br>and general | (D)<br>Fundraising |
|------------------------------------|--------------|----------------------------|----------------------------------|--------------------|
| Equipment                          | 7,639.       | 7,639.                     | 0.                               | 0.                 |
| Postage and printing               | 206.         | 206.                       | 0.                               | 0.                 |
| Veterinary services                | 704.         | 704.                       | 0.                               | 0.                 |
| License                            | 240.         | 240.                       | 0.                               | 0.                 |
| Dues and Subscription              | 120.         | 120.                       | 0.                               | 0.                 |
| Real estate taxes                  | 4,260.       | 4,260.                     | 0.                               | 0.                 |
| Other Conservation Program support | 15,731.      | 15,731.                    | 0.                               | 0.                 |
| Annual Filing Fee                  | 61.          | 0.                         | 61.                              | 0.                 |

# RARE SPECIES CONSERVATORY FOUNDATION, INC.

EIN: 65-0560456 FORM: 990 TAX YEAR: 2014

# PART III: STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

Mission and Scope: RSCF is a 501(c)(3), non-profit organization dedicated to preserving biodiversity through hands-on conservation programs rooted in sound science. RSCF employs the "flagship species" concept to identify and conserve high profile, priority species in order to leverage protection for the ecosystems they represent. Flagship species breeding and conservation efforts, along with field-based research, habitat protection and local capacity building, are directed toward long-term, regional-scale biodiversity preservation. RSCF designs sustainable recovery, reintroduction and protection programs for endangered species in the wild, and works collaboratively with governments and other conservation/research organizations to restore target species and protect critical habitats. RSCF also provides consulting and technical services to conservation teams, and forms educational, political and economic partnerships to expedite specific habitat and species conservation projects.

Please also see detailed descriptions of program activities at www.rarespecies.org.

## I. 2014 Breeding and research activities

The Red-browed Amazon parrot: The endangered Amazon parrot Amazona rhodocorytha is a top priority for longterm captive breeding and management, from which a sustainable, in situ recovery effort is evolving. RSCF holds the only known breeding group in North America. The captive effort serves as a program platform to facilitate global management of the species and in situ conservation investment in Brazil. The Red-brow is a parrot of highest conservation priority, as identified in the 2000-2001 Status Survey and Conservation Action Plan for Parrots, published in 2000 by the IUCN (World Conservation Union) in coordination with the World Parrot Trust. In conjunction with IBAMA/ICMBIO (the Brazilian government wildlife authority), RSCF and partnering NGO's (Zoo Curitiba, Ideia Ambiental, Associação de Pesquisa e Conservação da Vida Silvestre, Fundação Neotrópica do Brasil) seek to assess the distribution, ecology and status of the species throughout its range, repatriate confiscated in situ and captive-bred ex situ Red-brows, and transfer title for all ex situ Red-brows to the Brazilian government in recognition of Brazil's governing authority and progressive conservation and law enforcement efforts. RSCF aims to return a core population of Red-brows to Brazil as necessary to complement an existing breeding-and-rehabilitation program. During 2014, RSCF Director Reillo continued to coordinate program elements with Pedro Scherer Neto, point person for the Red-brow effort in Curitiba, Brazil. Program development during 2014 included completion of new on-exhibit and off-exhibit aviaries at Tampa's Lowry Park Zoo and the transfer of 18 Red-brows to the Zoo. The Zoo is engaging in a progressive collaboration with RSCF on behalf of Red-brows, helping manage the North American population, promoting conservation education and outreach, and assisting with in situ program development. In 2014, this partnership sponsored a grant to Fundação Neotrópica do Brasil entitled, "Distribution, Ecology and Conservation Status of the Red-browed Parrot Amazona rhodocorytha in the State of Minas Gerais, Brazil (see Conservation and Research Program Field Projects, below)." In addition, a modest grant from The Batchelor Foundation enabled RSCF to compile an historical, video mini-documentary of the Red-brow recovery effort, to be released mid-2015 (Black Door Films, Inc.). Ultimately, the RSCF-TLPZ-Brazil collaboration will coordinate husbandry and captive-breeding methods, prepare field recovery strategies, and implement international governmental regulations to thwart trade in the species and reinforce species-level authority and sovereignty by the Brazilian government. During 2014, RSCF artificially incubated and hand-reared seven Red-brows from four unrelated breeding pairs.

The Vinaceous Amazon parrot: In 2014 RSCF continued to help coordinate long-term captive management of Amazona vinacea with helper aviaries, as it also completed phasing out the species at the Conservatory. RSCF's conservation agenda for the species includes recruiting additional institutional support for long-term species

recovery, especially investment for *in situ* efforts. Recovery and conservation efforts for *A. vinacea* run parallel to those for *A. rhodocorytha* (above) and engage the same governmental and NGO participants.

The white-bellied Caique parrot: RSCF's 2014 on-site population of Pionites leucogaster xanthomeria totaled six breeding pairs. In 1999, RSCF concluded the longest running (10 years) and most successful breeding and research program on the white-bellied caique parrot. After compiling five years of reproductive and genetic data from a founder breeding population into a husbandry manual and population management system, RSCF developed the breeding protocol for this species, which is now used in aviculture worldwide. In 2000, RSCF's focus turned to placing the remaining genetically important stock with zoological institutions in the U.S. and the Graeme Hall Nature Sanctuary in Barbados (birds delivered in early 2001), and continuing to support the genetic and husbandry database. During 2001, RSCF completed the reduction of the Conservatory's white-belly inventory, with the remaining birds to be maintained indefinitely at the Loxahatchee facility. Since early 2002, Pionites will only be reproduced by special request from wildlife parks, accredited aviaries, or avicultural centers.

The East African Bongo: One of the most spectacular mammals bred at the Conservatory is the Mountain (or East African) Bongo, a large antelope facing extinction in the wild. The vegetation and climate at the Conservatory have proven ideal for propagating and researching this shy, forest-dwelling bovid. In 2003, RSCF commenced an interinstitutional project with the U.N. Foundation and U.N. Development Programme to establish an in situ breeding program for bongo in Kenya at the Mt. Kenya Wildlife Conservancy. Entitled Repatriation of Mountain Bongo Antelope to Mt. Kenya World Heritage Site, this initiative represents the successful completion of the first of several conservation steps needed to reestablish a self-sustaining, wild population of bongo on Mt. Kenya and in the Aberdares and surrounding ecosystems, and stimulate a broad base of conservation program support for the Mt. Kenya World Heritage Site and Aberdares National Park and Conservation Area—both global-priority biodiversity areas.

RSCF coordinated the project as an NGO contractor to UNDP, was consignor for the bongo export (receiving legal title to all animals donated to the project and repatriated to Kenya), and also donated four bongo from the RSCF population to the effort. In 2009, RSCF Research Associate Lyndon Estes completed his Ph.D. at the University of Virginia, focusing on the ecology and habitat utilization of wild bongo in the Aberdares. This research complements other recovery efforts, both ex situ and in situ, by helping to identify appropriate habitat for sustainable reintroduction, train local guides and researchers, and bolster monitoring and protection of the remaining wild bongo population. Along with the United Nations Development Programme (Small Grants Programme) and partnering NGO's, RSCF helps sponsor the Aberdares bongo surveillance effort (BSP-Bongo Surveillance Programme, www.mountainbongo.org), coordinated with the local NGO Rhino Ark (www.rhinoark.org). On the in situ captive front, RSCF has provided technical and veterinary services to the Mt. Kenya Wildlife Conservancy and helped sponsor general husbandry and animal care for the repatriated bongo group. During 2007, RSCF completed formal collaborations with Dr. Michael Bruford, University of Cardiff, Wales, to genetically assess the wild Aberdares and Mt. Kenya populations via mtDNA and microsatellite analyses of field-collected dung samples. This program confirmed species identities and haplotype variation in wild populations, as it also trained a Kenyan graduate student in molecular genetics laboratory methodology. Co-sponsored by Rhino Ark, this trained technician has brought stateof-the-art molecular laboratory techniques and expertise back to Kenya. In addition to corroborating field teams' detection of bongo presence in the field, the analyses produced a microsatillite protocol (using bovid markers) for discriminating individual bongo genotypes from bongo dung. During 2007 RSCF donated an ELISA flourimeter to Analabs, Ltd. (Nairobi) to assist in the isolation and identification of bovine infectious diseases that impact both wild and agricultural bovine populations. Early detection and intervention is critical to thwart periodic outbreaks of Theileriosis, rinderpest, hoof-and-mouth disease, and other viral and parasite-borne infections that cross between domestic and wild bovids.

A detailed summary of research findings and management recommendations can be found at <a href="www.rarespecies.org">www.rarespecies.org</a> in the document entitled "Mountain Bongo Research Summary", compiled by former Research Associate Lyndon Estes. Current program expansion includes enhancing surveillance capacity for the BSP, providing direct support for the Bongo Program Coordinator and field staff within Rhino Ark, ongoing surveillance, monitoring and population

assessment throughout the bongo's range, and continuing a comprehensive genetic assessment of all living bongo (captive and wild) using micro-satellite DNA analysis (with RSCF trustee Dr. George Amato, Director of Conservation Genomics, American Museum of Natural History—see *Other African Wildlife Initiatives*, below). Program partners, including the Center for Conservation of Tropical Ungulates (CCTU), White Oak Conservation Center and European EEP institutions, have pledged direct and in-kind support for capacity building, field logistics, and tangible conservation implementation in the Aberdares and on Mt. Kenya. During 2014, RSCF transferred \$31,000 (funded by CCTU) to the Rhino Ark Charitable Trust for ongoing BSP surveillance, protection and research activities in the field. During 2014, Reillo and the Rhino Ark team commenced planning for bongo translocations from the Mt. Kenya Wildlife Conservancy to Mt. Eburu, which has been entirely fenced. In addition, plans are now underway for a second repatriation from Florida, pending assessment of animals at MKWC, translocation success and the results of ongoing disease assessments in the *in situ*, managed bongo population.

Florida Bongo Ranching Program: During 2014, RSCF consolidated the Florida-based bongo management consortium to three key facilities, RSCF, the Micanopy Zoological Preserve and CCTU, to help maintain a healthy ex situ bongo population and provide animals for repatriation and reintroduction in Kenya as necessary. RSCF developed the ranching initiative upon request by the Rhino Ark Charitable Trust and Bongo Surveillance Programme (working collaboratively with the Kenya Wildlife Service), which anticipate multiple in situ bongo management centers over the coming years. The ranches coordinate long-term breeding, management and surplus with RSCF and the International Studbook and contribute to in situ conservation via philanthropic support.

Other in-house projects: During 2014, RSCF began phasing out management efforts for Hawk-headed parrots (Deroptyus accipitrinus and D. a. fuscifrons), primarily due to aging animals and the death, from old age, of the last-known, male Brazilian hawk-head in North America. RSCF continues to maintain a small group of the nominate race of hawk-heads, along with Golden conures (Guaruba guarouba), Pygmy marmosets (Cebuella pygmaea), Golden Lion Tamarins (Leontopithecus rosalia) and Golden-headed Lion Tamarins (Leontopithecus chrysomelas). RSCF participates in AZA (American Zoo and Aquarium Association)-sanctioned Species Survival Plans, Population Management Plans and studbooks for all housed species, and voluntarily maintains its animal database with ISIS, the International Species Information System. During 2014, pygmy marmoset breeding was again expanded to help recover the dwindling North American captive population, although the demographics of the population continue to pose significant, long-term challenges to recovery. RSCF has established 13 family groups, and during 2014 successful reproduction was achieved in four families. RSCF's commitment to Golden-headed Lion Tamarins (Leontopithecus chrysomelas) is expanding and the Conservatory will hold three pairs by early 2015.

\*\*\*\*

Tropical Conservation Institute at Florida International University (FIU): RSCF and FIU formally teamed during 2014 to launch a broad interdisciplinary and international conservation platform named the Tropical Conservation Institute (TCI) under FIU's School of Environment, Arts and Society. A collaboration agreement between FIU and RSCF (executed in 2014), which establishes how TCI will be coordinated and run, links both organizations to a \$5M challenge grant agreement between The Batchelor Foundation and FIU, which will help establish initial TCI operating capital and leverage all incoming, new program revenues over the next five years. After serving as Senior Research Fellow to FIU during the development phase of TCI, Reillo was appointed TCI co-director with Mike Maunder, Ph.D., Associate Dean for Research Engagement at FIU School of Environment, Arts and Society.

A full prospectus for the Institute, including budget and program priorities, is available upon request.

# Overview-FIU Tropical Conservation Institute, School of Environment, Arts and Society

Building upon its history of excellence in conservation education and research, FIU has established the Tropical Conservation Institute (TCI). In partnership with the Rare Species Conservatory Foundation (RSCF), the School of Environment, Arts and Society (SEAS) in the College of Arts & Sciences will stop extinctions by empowering FIU graduates and conservation practitioners to protect ecosystems and species in the tropics and sub-tropics. TCI will leverage SEAS' broad portfolio of research, education, and outreach programs focused on tropical and sub-tropical

ecosystems. FIU's geographic expertise in conservation extends from South Florida to the Caribbean, Central and South America, Africa, the Pacific and Asia. These regions comprise the top global biodiversity hotspots - areas of exceptional species richness facing extraordinary threats. TCI will build upon the years of innovative and successful conservation methods and programs developed by RSCF and combine them with FIU programs to position TCI on the leading edge of tropical conservation action, education, research and outreach. The scope of the partnership will position TCI to be truly transformative on a global level.

#### The Need

Biodiversity, an essential foundation for a sustainable future, is being lost at an accelerating rate. We must act swiftly and decisively to protect biodiversity, and develop solutions to preserve critical species and their ecosystems while ensuring that human communities prosper. Nature's plight demands new approaches to conservation, in particular those that focus on species survival and ecosystem protection. Innovation is key for implementing real-time conservation action and training the next generation of conservation professionals here and abroad. A novel academic platform is needed to deliver effective conservation education, training and on-the-ground programs — linking universities, practitioners and institutions dedicated to conservation.

#### The Vision

The FIU Tropical Conservation Institute will address the critical issues driving wildlife to extinction and the decline in ecosystems, including habitat loss, wildlife trade, climate change, competition over water and land resources and disruptions to coastal marine ecology, in effect, developing tools to prevent the extinction of tropical species. Our global collaborators in academic institutions, NGOs, governments, and the private sector have long recognized FIU's leadership and international influence in the field of tropical conservation. FIU's degree programs in Biological Sciences and Earth and Environment have trained thousands of students for careers in environmental conservation around the globe.

As the primary partner, the RSCF, offers decades of experience solving critical conservation problems and a network of collaborating organizations in biodiversity hotspots. RSCF designs sustainable recovery programs for endangered flagship species in the wild, and works in collaboration with governments and other conservation/research organizations to protect critical habitats. RSCF also provides consulting and technical services to conservation teams, and forms educational, political and economic partnerships to expedite conservation projects. Initially, the FIU Tropical Conservation Institute will work with some of the world's most threatened species in three

Initially, the FIU Tropical Conservation Institute will work with some of the world's most threatened species in three bio-geographical areas — Latin America and the Caribbean (including South Florida), East Africa and the tropical Pacific.

# The FIU Tropical Conservation Institute will save species by establishing:

- Partnerships and collaborations to deliver transformative conservation programs.
- A network of Conservation Fellows extraordinary scientists who will enhance research opportunities, provide field training for students, and deliver innovative courses for undergraduate and graduate students.
- Enhanced student and faculty professional opportunities, offering access to specialized conservation facilities in South Florida and around the world.
- FIU as an international leader in applied conservation training for local and international students. New
  professional master's programs will attract conservation practitioners and decision makers from around the
  world.
- New collaborations between science and the humanities to increase public understanding of biodiversity loss

\*\*\*\*

Feral parrot monitoring: During 2014, RSCF continued assessment and monitoring of a feral, Palm Beach population of Green-cheeked Amazon parrots (Amazona viridigenalis). Globally endangered and endemic to Mexico, the species has persisted on Palm Beach since the 1940's. RSCF has monitored the population for 20+years, under an agreement with the Breakers Hotel and special permitting from the Florida Fish and Wildlife Conservation Commission. Activities include nest-site monitoring, intra-cavity inspections and video recording, banding/weighing of chicks, rehabilitation, public education and outreach (with The Breakers' education staff) and annual recruitment estimation.

## Florida Grasshopper Sparrow:

[U.S. Fish and Wildlife Service Grant Agreement #F12AP01156, Captive Breeding, Florida Grasshopper Sparrow]

Discussions and meetings addressing recovery strategies for the Florida Grasshopper Sparrow (Ammodramus savannarum floridanus, hereafter FGSP) between USFWS and the Rare Species Conservatory Foundation (hereafter RSCF) continued through 2014. As stipulated in the grant contract and earlier reports, RSCF was requested to provide technical assistance to a pilot captive-breeding effort for the Eastern Grasshopper Sparrow (A. s. pratensis) to be conducted by B. Lohr at UMBC, and to concurrently develop and integrate a captive breeding strategy for FGSP in Florida.

During the initial period from the execution of the grant agreement until now, RSCF, represented by P. Reillo, has engaged in discussions and workshops addressing wild population assessments and various recovery approaches. These include numerous discussions with USFWS staff and consultants and participation in all FGSP workshops—both by phone and in person. Reillo and Lohr communicate frequently to discuss nutritional, developmental and veterinary issues with the A. s. pratensis in Lohr's lab, methodologies, and research applications to FGSP recovery.

Since the grant modification, Reillo and RSCF staff have redesigned the sparrow rearing lab at the Conservatory and are prepared for birds anticipated to be collected during 2015, as described under the new USFWS Captive Breeding Plan, revised in early 2015. Upgrades include sealing and weatherproofing all contact surfaces, creating a neutral color scheme for the building's interior, new enclosure mesh and roofing, new lighting system, ventilation and ambient temperature control. In addition, all dedicated incubators and brooders have been overhauled, cleaned and recalibrated.

For a variety of reasons, USFWS did not sanction the collection of FGSP during 2014. Please see the USFWS FGSP Captive Breeding Plan for 2015 for a complete historical discussion, rationale and proposed actions.

Next steps for the captive program hinge upon the outcome of May 2015 wild population surveys and implementation of a tiered, somewhat-stratified collection scheme proposed by USFWS. Hatch-year birds may be collected and brought to RSCF if adequate reproductive effort is observed, May point counts are sufficient, and if the available birds fall within the USFWS selection criteria in the captive-breeding plan. General population thresholds are presented in the plan, which may allow for other collection strategies (e.g., if the population exhibits rapid decline). One of the greatest concerns to the recovery effort is the possibility of rapid, local population collapse, eliminating or significantly reducing viable, wild founders to commence a captive effort.

RSCF's involvement in the FGSP program is contingent upon USFWS's wild population assessments and recommendations from the FGSP Working Group. During 2014, expenditures were restricted to upgrading the FGSP laboratory, purchasing a 15'x15'x40' enclosure frame (for future breeding/managing options), and support for Reillo's participation in FGSP meetings.

# II. 2014 Conservation and Research Program Field Projects

#### Dominica program:

Over the past half-century, Dominica's endemic Amazon parrots, the Sisserou and Jaco (Amazona imperialis and A. arausiaca, respectively), have proven to be effective conservation flagships for Dominica's diverse oceanic rainforest ecosystem. Continuous parrot-conservation and public-education efforts focusing on the Sisserou, Dominica's national bird and emblem, have achieved a broad base of public support and awareness, along with significant protection of Sisserou habitat, including the oldest forest stands on the island. Both parrot species' secretive natures and Dominica's difficult terrain often have impeded quantitative research into the parrots' ecologies, even as a comprehensive parrot-conservation program has evolved and yielded tangible results. Current field-research activities continue to quantify the parrots' distribution and abundance using GPS/GIS survey methods and direct counts. Research teams use new camera technologies to monitor and document reproduction and parental care, and are quantifying the botanical inventories of critical parrot nesting and foraging habitats. The Dominica parrot-conservation program has stimulated novel research and enhanced protected-area policies island-wide, ensuring a future for the Nature Island's vast montane forests and its winged ambassadors.

Since 1997, RSCF and Dominica's government have partnered to research and conserve Dominica's parrots. The program is multifaceted, and includes:

- extending formal, legal protection to all forests surrounding Morne Diablotin, nesting stronghold for the Sisserou, and expanding protected areas to augment the Morne Diablotin and Morne Trois Pitons National Parks
- developing management and conservation strategies for the Jaco and Sisserou with Dominica's Forestry, Wildlife and Parks Division, including new protected-areas policies (Morne Diablotin National Park and recent annexations, 1999-2005) and wildlife legislation (Wildlife Act, amended 2003-2007 and currently under Cabinet review for inclusion in a new National Parks system)
- coordinating support for ongoing research, staff capacity building and education programs with public
  zoological facilities, other non-profit organizations, UNDP/UNEP and local and international NGO's. Efforts
  include field training, delivering new research technologies and equipment, infrastructure enhancements (e.g.,
  overhauling the Parrot Conservation and Research Centre), field vehicles, and funding outreach programs (e.g.,
  continuous PSA's, annual Caribbean Endemic Bird Festival, trail and road signage, publications).

The broad-based conservation program has produced many significant results, including formative documentation of reproduction and bi-parental care in the Jaco and Sisserou, delineation of key parrot habitat, and the compilation of area-specific biological inventories. Most significantly, on January 21, 2000, culminating a two-year, \$1.086 million campaign spearheaded by RSCF and the Dominican government, Dominica formally declared the new Morne Diablotin National Park, encompassing ~8500 acres (3443 ha) of pristine rainforest and the principal nesting area for the Sisserou. Since then, RSCF funds have enabled seven additional, adjacent, private land parcels to be annexed into the park, and the Morne Diablotin National Park Visitors Centre and Forestry field station to be completed and serve at a vital management outpost for the park.

Outreach, education: During 2014, in addition to assisting the parrot field conservation program, RSCF provided operational funding for the Parrot Conservation and Research Centre at the Botanical Gardens and continued its 13-year commitment to on-island environmental awareness efforts by sponsoring print and radio Public Service Announcements and special programming (e.g., Voice of Life Radio, DBS radio and television) and the 2014 Caribbean Endemic Birds Festival. In addition, RSCF again distributed funds from a research grant from the Loro Parque Fundacion in Tenerife, Spain for the Dominican parrot team to conduct a comprehensive population survey of the Sisserou parrot. This survey, which will run through 2015, replicates the GPS/GIS parrot survey methodology

co-developed by RSCF and Dominica's Forestry Division in 2001 to estimate current population size and distribution by estimating parrot densities across vast, montane forest habitat.

Mobile Wildlife Laboratory: During 2011, RSCF shipped a self-contained RV from Florida and equipped it to become a stand-alone, mobile wildlife laboratory, housed at the Parrot Conservation and Research Centre in Roseau. The laboratory sleeps six and provides a flexible, environmentally controlled facility for researchers and Forestry staff working on special wildlife cases (e.g., rearing/rehabilitation of wildlife, specialized veterinary care, extended stays in the field). During 2014, additional veterinary supplies, equipment and pharmaceuticals were added to the facility—most importantly an isoflurane anesthesia machine. The anesthesia machine, the only one of its kind on the island, provides safe, reversible anesthesia for avian examinations and procedures. Reillo and support staff used the mobile lab as a base of operation during visits in 2014 and 2105.

Externship in Avian Medicine and Husbandry: From 29 September – 10 October 2014 RSCF hosted Assistant Forest Officer Stephen Durand and Veterinary Officer/AO3 Bryon Richards (representing the Ministry of Agriculture and Forestry) for intensive, hands-on training in avian husbandry and medical care. The techniques-oriented sessions were designed to build practical capacity for personnel responsible for the care and management of the endemic Amazon parrots currently held at the Parrot Conservation and Research Centre in the Botanic Gardens, Roseau. The training covered relevant aspects of small-wildlife handling, examination, anesthesia, assessment, surgery and emergency treatment. In addition, laboratory techniques, including fecal floatation analysis and microbiology (bacterial/fungal cultures and sensitivities) were conducted. Co-coordinated by Dr. Susan Clubb at the Rainforest Clinic for Birds and Exotics (in Loxahatchee, FL) and Reillo at RSCF, the methods course emphasized routine procedures and treatments to enable Forestry/Veterinary staff ability to provide appropriate, basic medical care for Dominica's wildlife. Forestry and Veterinary Services frequently receive animals in need of medical attention and rehabilitation. A full report of the externship, filed by Durand and Richards with the Ministry of Agriculture, is available upon request.

Construction of a new parrot exhibit enclosure near the Parrot Conservation and Research Centre (PCRC), National Botanic Gardens: During 2008, RSCF designed, shipped and installed a new exhibit aviary for Jaco parrots and other representative wildlife at the Botanical Gardens in Roseau. This 15'x15'x40' enclosure, modeled after RSCF's Red-brow aviary in Loxahatchee, FL enables visitors to see Jaco parrots, agoutis, iguanas, and other representative fauna in a large, free-flight, landscaped enclosure. Previously, visitors intruded upon the PCRC to glimpse the only captive Jaco and Sisserou parrots in the world. Constructed in 1991 by the Jersey Wildlife Preservation Trust and overhauled by RSCF in 1999, the original PCRC complex is headquarters for the parrot conservation and research program, spearheaded by the Forestry, Wildlife and Parks Division. The PCRC provides safe harbor for non-releasable animals, a center for captive breeding and research for the Sisserou parrot, and laboratory and veterinary space for wildlife rehabilitation. RSCF has funded and provided all diets, consumables, equipment and supply costs for the PCRC since 1997, and in 2008 supplied renovation materials (e.g., paint, carpentry supplies and tools). During 2009, this enclosure was formally made accessible to the viewing public, as the main PCRC complex was taken off-line to again serve as a full-time, protected avian research and breeding facility. As a result, the world's only captive breeding pair of Sisserou parrots at the PCRC successfully hatched the first Sisserou chick in captivity, on 5 May 2010. During 2011, the PCRC was enhanced with new concrete sills for aviary enclosures, new wiring and plumbing, and site preparation for the mobile wildlife laboratory described above. During 2014, the exhibit enclosure was outfitted with new perimeter fencing, visitor set-backs, colorful graphics, and security measures.

Caribbean Endemic Birds Festival: Since its inception in 2002, RSCF has sponsored the Forestry, Wildlife and Parks Division's participation in the CEBF, the broadest annual environmental outreach program for children in the Lesser Antilles (>8,000 children in 2014). The program runs for one month in May, and enables children from across the island to celebrate the richness of Dominica's bird life, totaling 228 species. The program is a collective effort between Forestry, RSCF, local sponsors and Birds Caribbean, the latter of which produces the Journal of Caribbean Ornithology. Each year, Forestry staff voluntarily lead presentations, tours, and media releases on the ecology and conservation of Dominica's avifauna, engaging all radio, television and print media outlets island-wide.

Experienced Foresters accompany school groups on birding expeditions, seabird-watches and rainforest tours, and give illustrated presentations at the National Botanic Gardens—all on their own time. The Division also hosts a BirdArt contest and exhibition (300+ entries last year), for children from pre-K to Seniors (IV Form). CEBF has grown each year since its inception. RSCF's annual contribution supports transportation, supplies for educational materials, field binoculars for students, field consumables, the BirdArt and Radio Quiz programs, and press-release materials.

Establishing agro-processing facility in village of Dublanc. This is an extension of the Morne Diablotin National Park/U.N. Cluster World Heritage Site program initiated by RSCF in 2000. RSCF engaged the United Nations Development Programme (UNDP) to solicit FAVACA (Florida volunteer corps.) to provide two citrus production and marketing specialists to assess citrus management in the Morne Diablotin area. The report, filed in 2006, indicates adequate acreage, production and suitable fruit quality for small-scale processing. The initiative aims to provide livelihood development for farmers and agricultural stakeholders adjacent to Morne Diablotin National Park who suffer significant crop losses to parrots and other protected wildlife. Current citrus-crop utilization is less than 40% in Dominica, largely due to on-the-tree fruit storage, and local, fresh-fruit market sales only. Micro-processing enables farmers to explore local fresh juice and extract-product markets and utilize a greater percentage of fruit set each year, thereby reducing wildlife-human conflicts. The Jaco parrot (Amazona arausiaca) exploits agriculture on Dominica, and while fully protected under law, the species is no longer considered imminently threatened. As opportunists, Jaco parrots supplement their food intake with readily available citrus (primarily to extract seeds), but do not rely on agriculture for adequate nutrition. The Sisserou parrot (A. imperialis) does not forage in agricultural areas. Smaller birds, such as bananaquits, tremblers and thrashers, also consume agriculture, along with agoutis, manicou (opossum) and rats.

The Western Farmers Citrus Association is incorporated and fully registered as a Community Based Organization (NGO), and serves as the local consortium for agricultural stakeholders in the Morne Diablotin area. The Association has been recognized by both Dominica's Ministry of Agriculture and the Environment and UNDP as the appropriate entity to receive duty-free agricultural concessions consigned by NGO's to Government. During 2008, RSCF delivered two state-of-the-art fruit processors manufactured in Florida by FMC, the world's largest manufacturer of processing equipment (<a href="https://www.fmctechnologies.com">www.fmctechnologies.com</a>):

- 1 Fresh 'n Squeeze Multi-fruit Juicer, 240VAC, with service parts
- 1 Produce Plus Juicer (for mangos, pineapple, guava, etc.)

The shipment also included spare and maintenance parts for the machines and a fully purchased, 20' shipping container for storage, with all items consigned to the Ministry of Agriculture and the Environment as duty-free. During 2012, the Ministry officially commissioned operations of the completed micro-processing facility to the Association, which began commercial processing under its own label in early 2013. The facility is located in Dublanc, a small, coastal village situated at the base of Morne Diablotin on Dominica's west coast, and has the potential to serve growers within a 10-mile radius. During 2014, the facility processed and distributed juices under its "Jaco" label. Processing includes juices and extracts of all types, with waste material suitable for industrial uses (e.g., organic solvents), organic compost and animal feeds. This facility is intended as a model to be replicated across Dominica's agricultural communities to enhance local crop management, community cooperation and juicing efficiency, while simultaneously reducing wildlife depredation of tree-stored fruits.

#### Other wildlife initiatives:

RSCF Board member R.D. Estes was appointed Lifetime Member Emeritus to the IUCN Species Survival Commission, in recognition of chairmanship of the Antelope Specialist Group from 1978-2004. In addition to his pivotal role in the bongo antelope conservation program, Estes is actively involved in the conservation of the giant sable antelope, through RSCF and ASG support of an Angolan ecologist who recently obtained photographic proof that the species survives in the Cangandala National Park. In 2009, Estes assisted in an ambitious translocation

effort for the giant sable in Angola and provided technical support, outreach and essential documentation for the project. He again served as Resident Naturalist in Kenya's Maasai Mara Reserve, Governors Camp, during 2014 and provided guide and guard training for wildlife teams from Mozambique, Tanzania (Gremeti Reserve), and South Africa. His comprehensive treatise on wildebeest, *The Gnu's World*, drawing upon decades of research and historical population data compiled since 1967, was published in 2014. During his regular field expeditions throughout the year, Estes monitors wildebeest, elephant and ungulate populations while offering outreach and interpretive services to park personnel and visitors.

# Bongo antelope microsatellite analysis by RSCF trustee George Amato, Director, Sackler Institute for Comparative Genomics, American Museum of Natural History:

Microsatellite DNA analyses are used to differentiate sub-populations and estimate relatedness between individuals. Relatedness information is fundamental to the bongo recovery effort because the genetic variation across wild and captive bongo groups and the genetic similarity between fragmented wild sub-populations are presently unknown. Since bongo antelope have been maintained in captivity (with an international studbook) since the 1970's, there exists excellent pedigree information for captive animals, but no independent analysis to determine how much genetic variation exists. For convenience, studbook analyses assume that the initial founders are genetically unrelated—but this is almost never the case for any managed species. Captive bongo may comprise genes that have long disappeared from the wild or vice-versa—only a comparative genetics assessment can tell.

The bongo's sustainable recovery in the wild hinges upon practical management decisions that conserve both genetic diversity and integrity. Genetics can guide reintroductions and translocations designed to boost animal numbers and genetic diversity by helping determine which animals complement existing sub-populations, or which sub-populations can be mixed or integrated. Dr. George Amato, Director of the American Museum of Natural History's Conservation Genomics Department and RSCF trustee, recently has compiled a bongo genetic library and developed bongo-specific primers and markers to assess genetic variation, distance and relatedness among bongo populations, which will include samples from wild bongo across the Aberdares, Mau, Mt. Eburru, Salient and Mt. Kenya, the managed population at the Mt. Kenya Wildlife Conservancy (and their 2004 repatriated ancestors), and U.S. and European collections. DNA can be extracted from field-collected dung samples, or from hair and skin from live or deceased animals. Dr. Henrik Svengren, Department of Zoology, Uppsala University, Sweden, has committed to help process samples and compile a comprehensive population genetics analysis, using the protocols developed in Dr. Amato's laboratory.

An immediate goal is to assess which animals are of greatest genetic and demographic value to the overall recovery effort, and to prioritize actions that preserve existing diversity and maximize conservation yield across populations. The recovery strategy for bongo depends upon vigilance and protection of all remaining wild bongo and bongo habitat, and integrating multiple approaches— from environmental policy to reintroductions— into an effective, sustainable conservation fabric.

## RSCF Research Associate Activities:

Crocodilian research and conservation by Research Associate Matt Shirley (University of Florida)—Shirley is currently based in Gabon and Cote d'Ivoire, developing conservation recovery strategies for the West African slender-snouted crocodile with Abidjan National Zoo and the Ivorian national parks service. A full project description, including comprehensive budget, objectives, timelines and partner responsibilities, is available from RSCF. The IUCN Save our Species program is a primary funder, with RSCF functioning as technical and administrative resource and zero-overhead fiduciary for Dr. Shirley's research, summarized below:

Project Title: In- and Ex-Situ Conservation of Mecistops in the Upper Guinea Forest Region

Executive Summary: This project aims to reverse the extinction trajectory of Africa's most Critically Endangered crocodilian (the West African slender-snouted crocodile Mecistops cataphractus) through captive breeding and reintroduction in the Upper Guinea forest region. The slender-snouted crocodile is a evolutionarily and ecologically unique crocodilian species endemic to the forested wetlands of the Upper Guinea and Congo biomes. Recent research has shown that the populations in these two regions have been isolated for > 7.5 million years and are readily distinguished morphologically and genetically. As a result, they are currently being split into two unique species. Crocodile surveys in West Africa over the past decade have detected < 50 individual Mecistops, of which only three were adults. Habitat loss and historic hunting have threatened this species and small, fragmented populations now impede recovery. To combat this, we are reviving captive breeding efforts for this species at the Abidjan National Zoo, evaluating reintroduction sites for ecological and socio-economic suitability, and reintroducing captive bred crocodiles for population reinforcement or revival in the case of local extinctions. By coordinating activities with national parks and protected areas development the project will be contributing to the burgeoning wildlife conservation efforts in Cote d'Ivoire. The long-term impacts will be further expanded through extensive capacity building with local stakeholders, national parks staff, students, community members and wildlife agents. This is the first ever project in Africa specifically designed to reinforce depleted and revive locally extinct crocodile populations.

Research on Elephant, Rhinoceros and Lion Disease—Dr. Michele Miller: During 2014, an American Association of Zoo Veterinarians grant supported RSCF conservation veterinarian Dr. Michele Miller to conduct research on multiple health issues in black rhinoceros in South Africa. Funds were used to establish a regional laboratory in southern Africa that could perform the U.S. standardized rhino-specific ferritin assay to allow comparative studies. Serum ferritin and iron values were determined and demographic factors compared to establish reference ranges to study Iron Overload Disorder in black rhinoceros. Funds were distributed for project supplies (multichannel pipettes) and field support. Dr. Miller's research program was conducted in collaboration with South African National Parks (South Africa), Stellenbosch University (South Africa), Department of Agriculture, Forests, and Fisheries/DAFF (South Africa), and Colorado State University (USA).

Dr. Miller also is conducting research on the epidemiology of tuberculosis in the North American captive elephant population. Funds were used to develop, implement and conduct analyses using a web-based epidemiological survey of U.S.-based elephants to assess factors contributing to infection with tuberculosis. In addition, a questionnaire on diagnostic tests and treatments for TB in elephants was included to develop a database. Funds were distributed for project running costs and personnel support associated with work in the U.S.; Miller's research project was conducted in collaboration with University of Florida (USA), Colorado State University (USA) and University of Missouri (USA) co-investigators with endorsement by the American Zoo Veterinarians Association (AAZV), Association of Zoos and Aquariums (AZA) Elephant Taxon Advisory Group (TAG), and International Elephant Foundation (IEF) (all US-based organizations).

Finally, a Morris Animal Foundation Grant to Dr. Miller was administered by RSCF to support research on disease (primarily TB) in South African lions. Funds were used to evaluate commercially available rapid serological tests (Chembio STAT-PAK and DPP) as practical field screening tools to determine the status of lions infected with tuberculosis. Sample/data collection from multiple lion prides included skin tests, tracheal lavage for mycobacterial culture, and blood collection for serology and was conducted in Kruger National Park, South Africa. Grant funds supported travel/running costs associated with field work, conducted in collaboration with South African National Parks (South Africa), Stellenbosch University (South Africa), Department of Agriculture, Forests, and Fisheries (DAFF) (South Africa), and Colorado State University (USA).

Dr. Miller's filed, final project reports are available in-full upon request.

#### III. Conservation Education Activities

In 2000, RSCF maintained relationships with domestic and international zoological institutions and agencies, and again overhauled its extensive, free-access web site (<a href="www.rarespecies.org">www.rarespecies.org</a>). The site links to many conservation and environmental organizations, presents RSCF's mission and scope for all audiences, and discusses conservation initiatives in detail. The education section for children has been significantly expanded, and is now used by schoolteachers across the U.S. and internationally. The site is updated regularly, and provides access to in-house and published scientific papers and program documents. In addition, RSCF staff in 2014 presented lectures and seminars to scientific and lay audiences (e.g., local universities, nature centers, international conservation meetings and workshops), and RSCF's board members served as academic advisors for graduate-level university students. (Please see discussion on RSCF's collaboration with Florida International University—Tropical Conservation Institute.) During 2014, RSCF continued its joint Public Service Announcement campaign with Dominica's Forestry and Wildlife Division, through which environmental education and conservation awareness is broadcast via radio and television both in English and Creole, as well as through print media, and sponsored the annual Caribbean Endemic Birds Festival (see above).

### IV. Media Development

In the course of implementing its conservation programs, RSCF generates a large amount of data, video footage, photographs, and technical narrative. Integral to the research effort itself, these materials also provide the basis for information sharing with scientific and lay audiences. RSCF compiles media resources into comprehensive reference libraries of imperiled ecosystems and species, including images and event histories that document project timelines in their entirety. The more endangered the species, the more crucial the record. Project-site host governments are a further beneficiary of this media development initiative, many of them lacking the funds to document their own country's biological resources, and what is becoming of them.

RSCF partners draw upon raw material from RSCF's field research. Scientific media content is packaged for use by partners, and is seen in exhibits and educational materials suitable for diverse audiences (e.g., zoo visitors, students, professional educators, web site browsers, conservation organizations, governmental agencies). Findings are also shared with scientific colleagues through technical publications associated with *in situ* conservation initiatives, propagation and other activities. RSCF is also acutely aware of the need to expand media programs and adapt/translate materials for educators, students and researchers at field project sites. RSCF's programs and field material are featured regularly in popular print and film, in addition to scientific publications for professionals. For example, RSCF's instrumental role in creating the world's first new national park of the millennium was presented in Wildlife Conservation, National Geographic, and Palm Beach Illustrated. Similarly, RSCF's work has been highlighted on CNN, and National Geographic Today, and the Dominica Program was the feature story for Jack Hanna's Animal Adventures season premier entitled, "In Search of the Sisserou".

RSCF regularly contributes feature articles and research papers to technical and lay journals. Similarly, the 2003-2004 bongo repatriation effort was featured on CNN, BBC, network television, the New York Times, multiple web news services (including National Geographic and Time Magazine for Kids), and over 30 newspapers across the U.S., Canada, Europe and Africa. During 2005, RSCF assisted the British Broadcasting Corporation while filming on Dominica, part of a multi-year, many-island documentary of the natural and geomorphological histories of the Caribbean; this series reached U.S. and European markets during 2010-2011. During 2006, RSCF participated in a novel, mini-documentary website campaign sponsored by Lincoln-Mercury and Amazon.com, entitled "My Dream". See <a href="www.mydream.tv">www.mydream.tv</a> and the submenu "Give Back" /"Conserve an Endangered Species" to view the film and profile about RSCF programs. RSCF trustee Mark Davis, DVM compiled, directed and produced a multi-cultural wildlife documentary film, "Horns of Hope," which debuted in 2012 and has been shown in numerous public venues since. The film highlights the poaching plight of black rhino in Zimbabwe and a diversity of conservation and

recovery strategies for Africa's premier flagship mammal species, including rhinoceros and bongo antelope; in addition it inspires environmental awareness through cultural, spiritual and scientific messaging.

Increasingly, social media has enabled RSCF to efficiently reach a broad audience with day-to-day video posts, staff blogs and contributions, photos, real-time dialogue with field staff, and related news stories. Some RSCF-generated videos have gone viral, while other material has been archived by network news organizations and conservation-themed websites and advocates (e.g., Arkive.org). RSCF staff continue to present at several local, national and international conservation venues and participate in nature documentary filming. RSCF staff, research associates and program partners published in technical peer-reviewed journals, popular magazines, local and regional newspapers, and in online forums. Please see RSCF's website for a complete and historical list of reprints, press releases, links and related materials.

## V. Facility Expansion

Thanks to a special grant from the Batchelor Foundation in Miami, in November 2007 RSCF formally expanded the Conservatory along its northwest boundary. The five-acre property includes a 2300 sq. ft. main structure, vehicle storage pole barn, and irrigation pond. As an expansion of the 20-acre Rare Species Conservatory, this addition enhances RSCF's wildlife conservation activities and facilities. During 2014, a number of construction and modification projects were completed, including:

- Re-design and completion of the breeding laboratory for the Florida Grasshopper Sparrow
- Expanded pygmy marmoset breeding enclosures and parrot fledgling enclosures
- Expanded bongo habitat, development of new bongo breeding group
- New hoofstock feeding structures, gates and pens

Digital Imaging: Thanks to a special grant, RSCF purchased a fully portable, Sound Eklin 1109G Digital Radiography System, to be shared cooperatively among local wildlife non-profit centers. The system comprises a suitcase-based computer and control system coupled to a digital imaging plate that allows both clinical and field-based x-ray imaging of small and large animals. Under an agreement with the Rainforest Clinic, based in Loxahatchee, patients from all local wildlife non-profits can benefit from the latest in digital x-ray imaging at no cost. In addition, the clinic provides an annual stipend to RSCF in consideration for providing digital imaging services to regular clients. This technology provides limitless, film-free, fast, fine-detail, images that can be enhanced, saved and shared among researchers and veterinarians. Diagnostics are maximized while handling time is minimized, significantly reducing patient stress. During 2013, the digital radiography system was instrumental in guiding the full recovery of an orphaned bongo antelope calf, born with a broken distal metacarpal. The system has also been invaluable for diagnosing health issues in parrots and small primates since its deployment.

As a 25-acre facility, the Rare Species Conservatory represents the organization's international headquarters for wildlife conservation, which focuses on global biodiversity preservation. RSCF's interdisciplinary programs integrate applied field and clinical research on endangered species, propagation and management of critical, flagship species for repatriation and reintroduction, developing husbandry protocols for critical taxa, and implementing protected-area policies and strategies for long-term ecosystem conservation. RSCF also collaborates with scientific and governing authorities for wildlife in conservation hotspot zones, primarily in the tropics and neo-tropics, to develop tangible conservation solutions for imperiled species and habitats.

The Conservatory in Loxahatchee serves as a captive-breeding center and research complex, as it also provides a hub for international exchange and outreach to students, interns, collegial scientists and the general public. Soon this role will expand as the Conservatory becomes the technical hub for FIU's Tropical Conservation Institute (above). The expanded facilities significantly enhance RSCF's conservation mission both locally and internationally, and facilitate existing and future programs to protect and restore the world's highest priority wildlife and natural areas. Please see

RSCF's website at for a detailed discussion about specific projects, organizational structure, and conservation strategies.

# APPENDIX A: Board of Directors

Paul R. Reillo, Ph.D., University of Maryland (Zoology). Field-oriented population biologist, ecological geneticist and environmental engineer. Rare Species Conservatory Foundation founder and president. Technical expertise in field ecology, demographic and genetic analysis of small populations and animal husbandry.

Richard D. Estes, Ph.D., Cornell University (Vertebrate Zoology). Behavioral zoologist and ecologist. Specialist in field studies of large African mammals. Species Survival Commission Chairman for Antelope Specialist Group of the World Conservation Union (IUCN); Earthwatch Scientific Advisor; Associate, Harvard Museum of Cultural and Natural History.

George Amato, Ph.D., Yale University (Biology). Director and Affiliated Professor, Sackler Institute of Comparative Genomics, American Museum of Natural History. Adjunct associate professor at Columbia and Fordham universities, research associate in the Ecology and Evolutionary Biology Department of Yale University. Conservation geneticist specializing in non-invasive sampling techniques for endangered species, and monitoring the trade in endangered species products using DNA-based forensic science.

Christopher Langen, Esq. Attorney, parrot enthusiast and conservation devotee, having traveled to 26 countries to view parrots alone. Fluent in four languages, and provides both legal counsel to RSCF and international program perspective and expertise.

# APPENDIX B: Year-end, 2014 Animal collection at RSCF, maintained under USDA, USFWS, and Florida FWC licenses

- 2 Iguana iguana (common green iguana)
- 29 Boocercus euryceros isaaci (eastern bongo)
- 46 Amazona rhodocorytha (Red-browed Amazon parrot)
- 2 Amazona vinacea (Vinaceous Amazon parrot)
- 15 Pionites leucogaster (White-bellied caique parrot)
- 2 Leontopithecus rosalia (Golden Lion Tamarin)
- 4 Leontopithecus chrysomelas (Golden-headed Lion Tamarin)
- 45 Cebuella pygmaea (Pygmy marmoset)
- 6 Aratinga guarouba (Golden conure

- 1 Amazona imperialis (Imperial parrot)
- 4 Deroptyus accipitrinus accipitrinus (Guyana hawkheaded parrot)
- 2 Pionites melanocephala (Black-headed caique)
- 1 Amazona brasiliensis (Red-tailed Amazon parrot)

# APPENDIX C: 2014 RSCF Physical Plant Inventory

- 25 landscaped acres, property and tangible-tax exempt (Palm Beach County, FL), fenced and cross-fenced (1.6 miles 8' fencing, mostly hi-tensile; .75 miles 4' fencing, hi-tensile)
- 12 interconnected hoofstock paddocks, with 8' gates, loading corrals, pole barns for feeding stations, troughs
- 1.5 acre mixed-species area, with 40 flights, including 13 walk-in, landscaped enclosures.
- 8 additional free-flight aviaries and stand-alone primate enclosures.
- 30'x12'x10' zoomesh primate enclosure
- 5 hurricane-proof bunkers (including, weaning, evacuation and workshop rooms)
- hurricane-proof small animal breeding laboratory (Florida Grasshopper Sparrow)
- aviary kitchen/ food prep room
- dishwashing building
- hay and grain storage buildings
- reverse-osmosis water purification system (separate building)
- Sound Eklin 1109G portable radiography system (housed at Rainforest Clinic)
- office/lab with nursery, veterinary clinic, surgery and quarantine (600 sq.ft.)
- personnel quarters, with separate intern/guest accommodation (1300 sq.ft.)
- meeting, staff-support and laboratory space (2000 sq. ft.), including rearing laboratory
- technical equipment (e.g., incubators, brooders, video, computers, tranquilizer equip., respirator, anesthesia)
- shop and tools (tractor, 3 all-terrain vehicles, bushhog, mower, trimmer, auger, sub-soiler, hand tools, welder, specialty fencing tools, plumbing, electrical supplies, rolling stock, 2 golf carts, etc.)
- backup diesel and gasoline generators—stationary and portable.